

The Nano-Hertz GW Background: Black holes or Phase Transitions?

Astronomy and Space Physics Seminar at UU, September 18th 2025

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Uppsala University

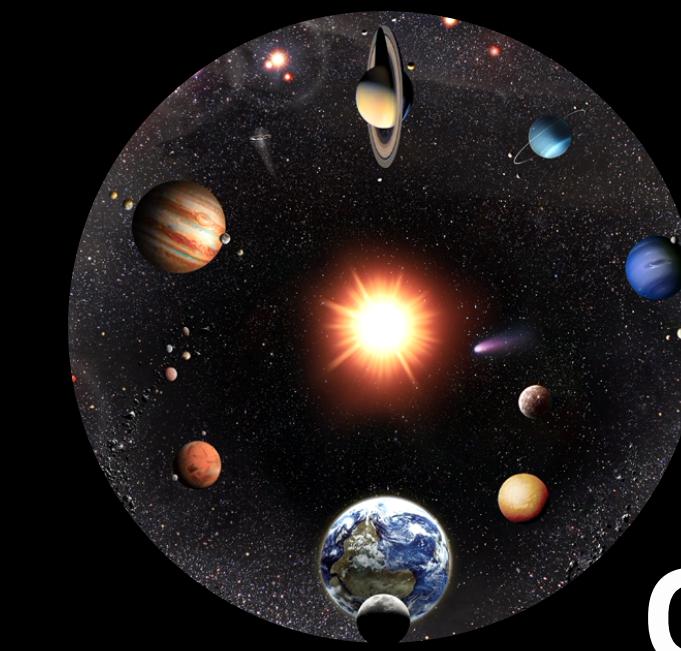
Based on work with Sowmiya Balan, Torsten Bringmann,
Frederik Depta, Felix Kahlhöfer, Thomas Konstandin, Jonas Matuszak,
Kai Schmidt-Hoberg, Pedro Schwaller and ongoing discussions at UU

JCAP 11 (2023) 053,
JCAP 08 (2025) 062,
and **Phys.Rev.Res. 7 (2025)**



**UPPSALA
UNIVERSITET**

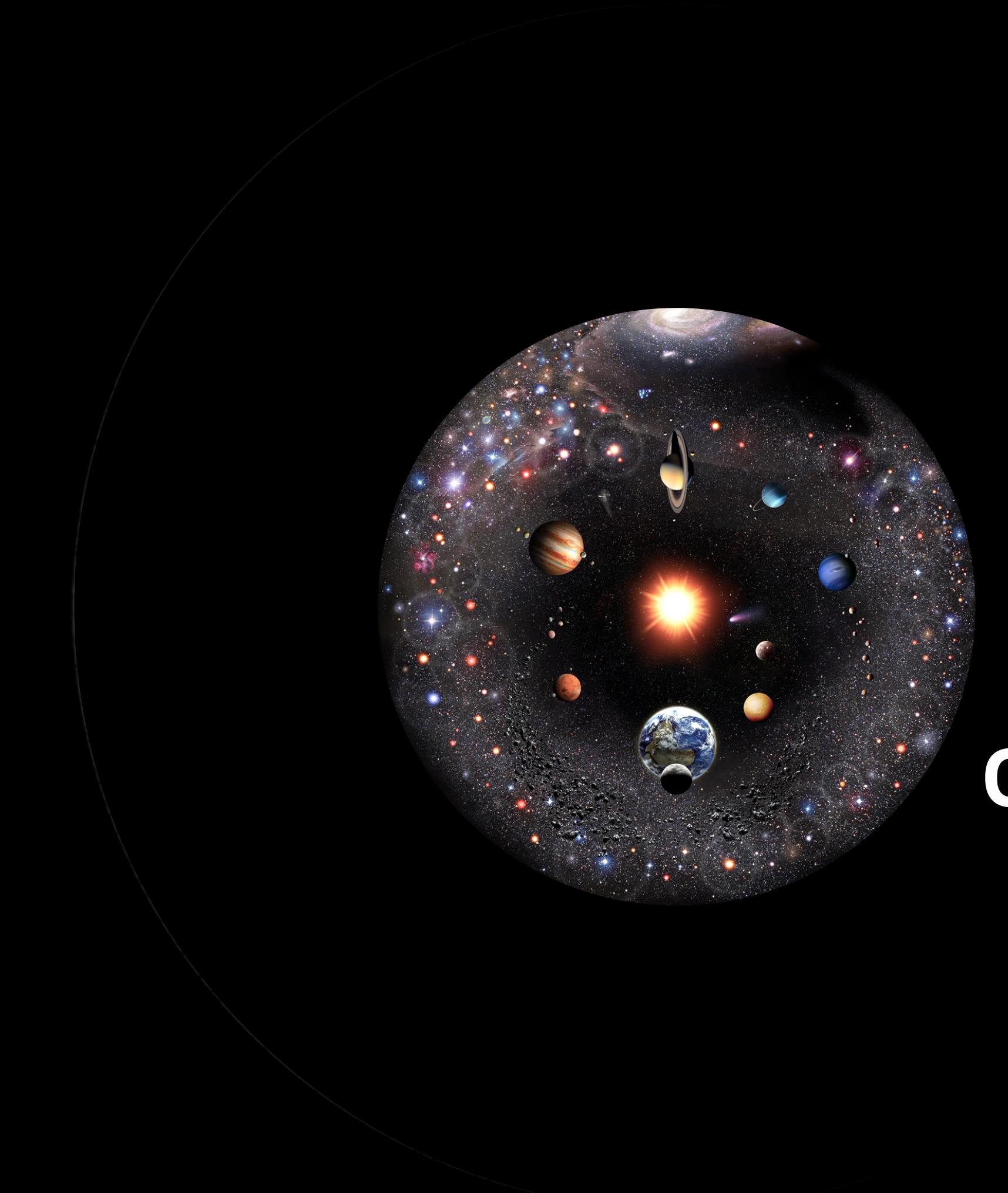
It's the end of the world as we know it (and I feel fine)



Our Solar System

PABLO
CARLOS
BUDASSI

It's the end of the world as we know it (and I feel fine)

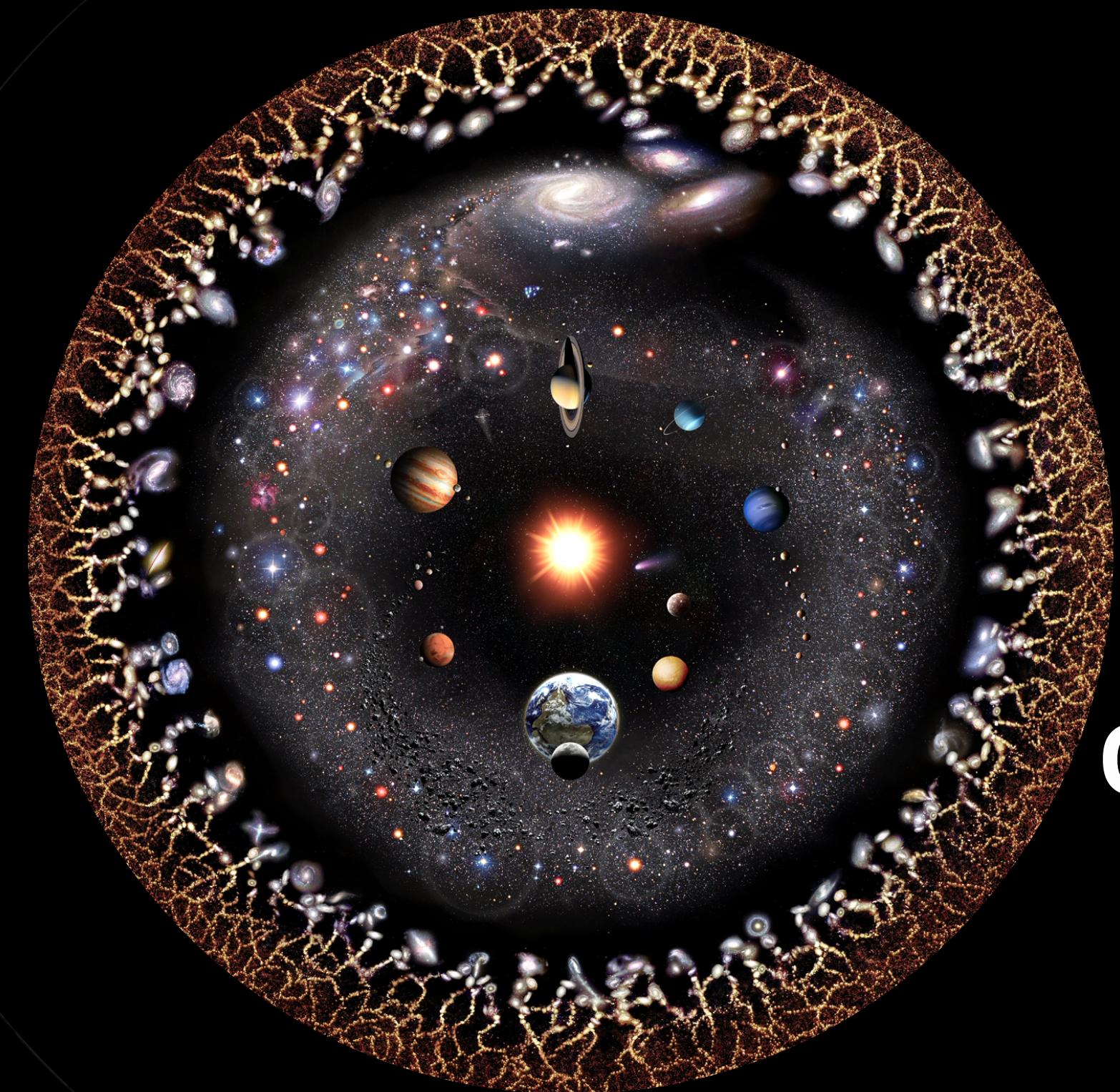


Our galaxy

PABLO
CARLOS
BUDASSI

[Pablo Carlos Budassi]

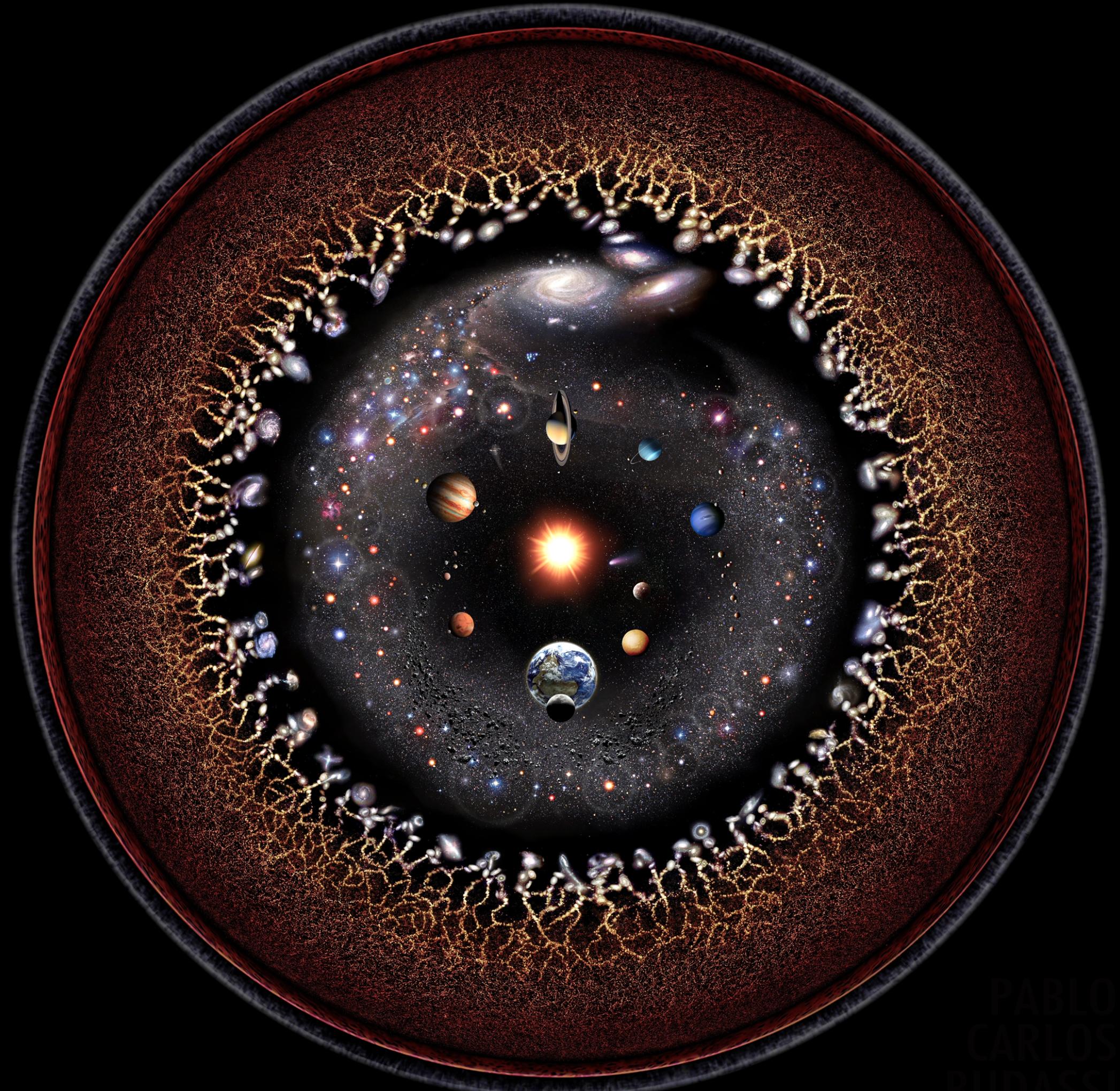
It's the end of the world as we know it (and I feel fine)



Other galaxies

PABLO
CARLOS
BUDASSI

It's the end of the world as we know it (and I feel fine)



The CMB...
and the CGWB?

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At Last, There's -

A globe-span-

Astronomers detect 'cosmic bass note' of gravitational waves

Sound comes from the merging of supermassive black holes across the universe, according to scientists

Gravitational Waves, Scientists have finally 'heard' the chorus of gravitational waves that ripple through the universe

Scientists have observed for the first time the faint ripples caused by the motion of holes that are gently stretching and squeezing everything in the universe

Black Holes in Space

Gravitational wave at the center of the Mi-

Scientists Re-

come from c-

holes

It may be a massive black

of Low-Frequency Gravitational Waves

the waves, w-

from the

sound comes from the motion of black holes caused by the motion of black holes, everything in the universe.

Astron-

A Background 'Hum' Pervades the Universe. Scientists Are Racing to Find Its Source

Astronomers are now seeking to pinpoint the origins of an exciting new form of gravitational waves that was announced earlier this year

Astro-

mon-

Colossal gravitational waves—trillions of miles long—found for the first time

Radio telescopes around the world picked up a telltale hum reverberating across the cosmos, most likely from supermassive black holes merging in the early universe.

Sci-

In a major discovery, scientists say space-time churns like a choppy sea

waves

The mind-bending finding suggests that everything around us is constantly being rolled by low-frequency gravitational waves

First Evidence of Giant Gravitational Waves Thrills Astronomers

For first time ever, scientists "hear" gravitational waves rippling through the universe

are tuning in to a never-before-seen type of gravitational waves that was announced earlier this year

rs used to see such a w form of ripple in

Scientists discover that universe is a

king gravitational wave

After decades of searching, astronomers have found a distinctive pattern of light, from spinning stars called pulsars, that suggests huge gravitational waves are creating gentle ripples in space-time across the universe

The results are a

background, a hum of

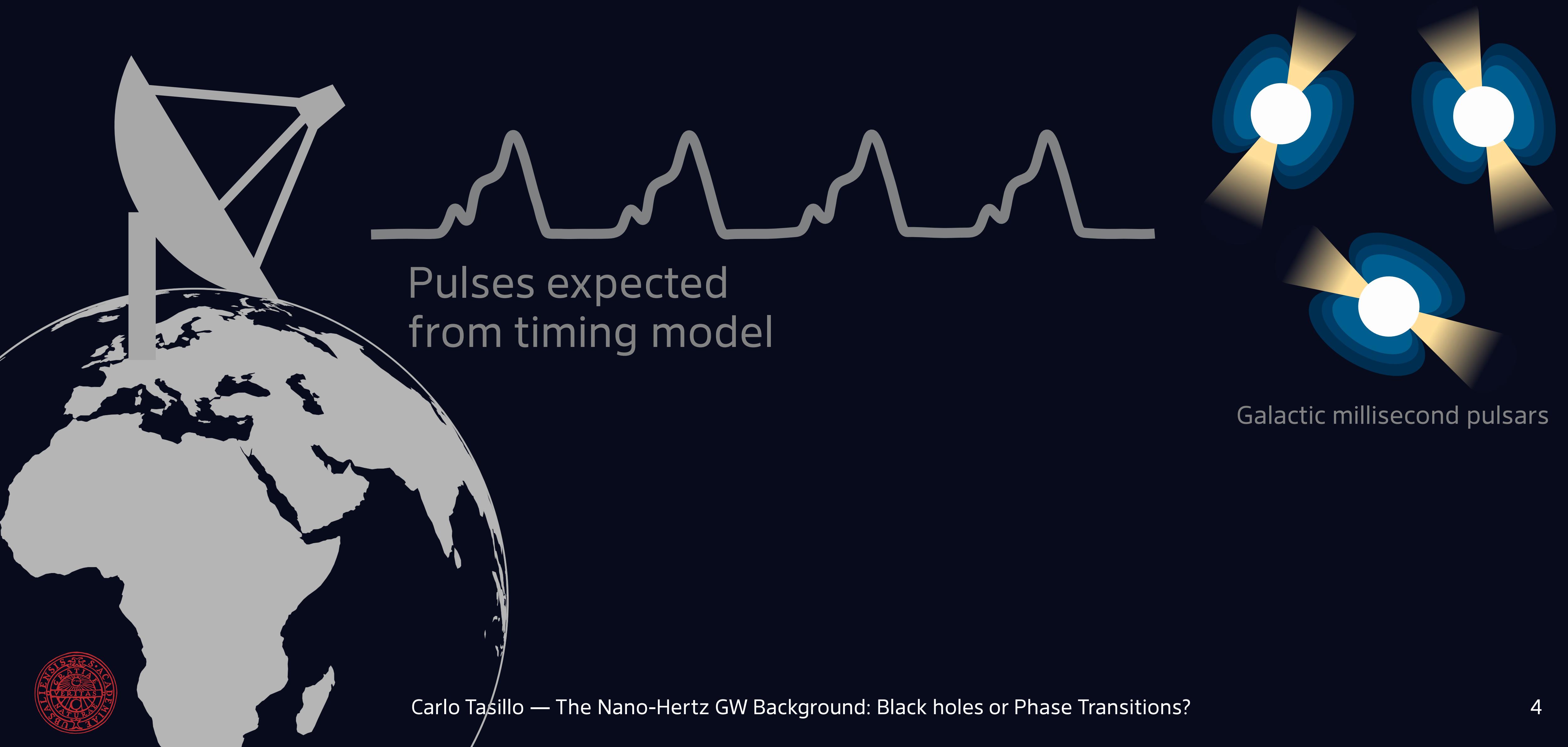
Universe.

We live in the age of gravitational wave cosmology!

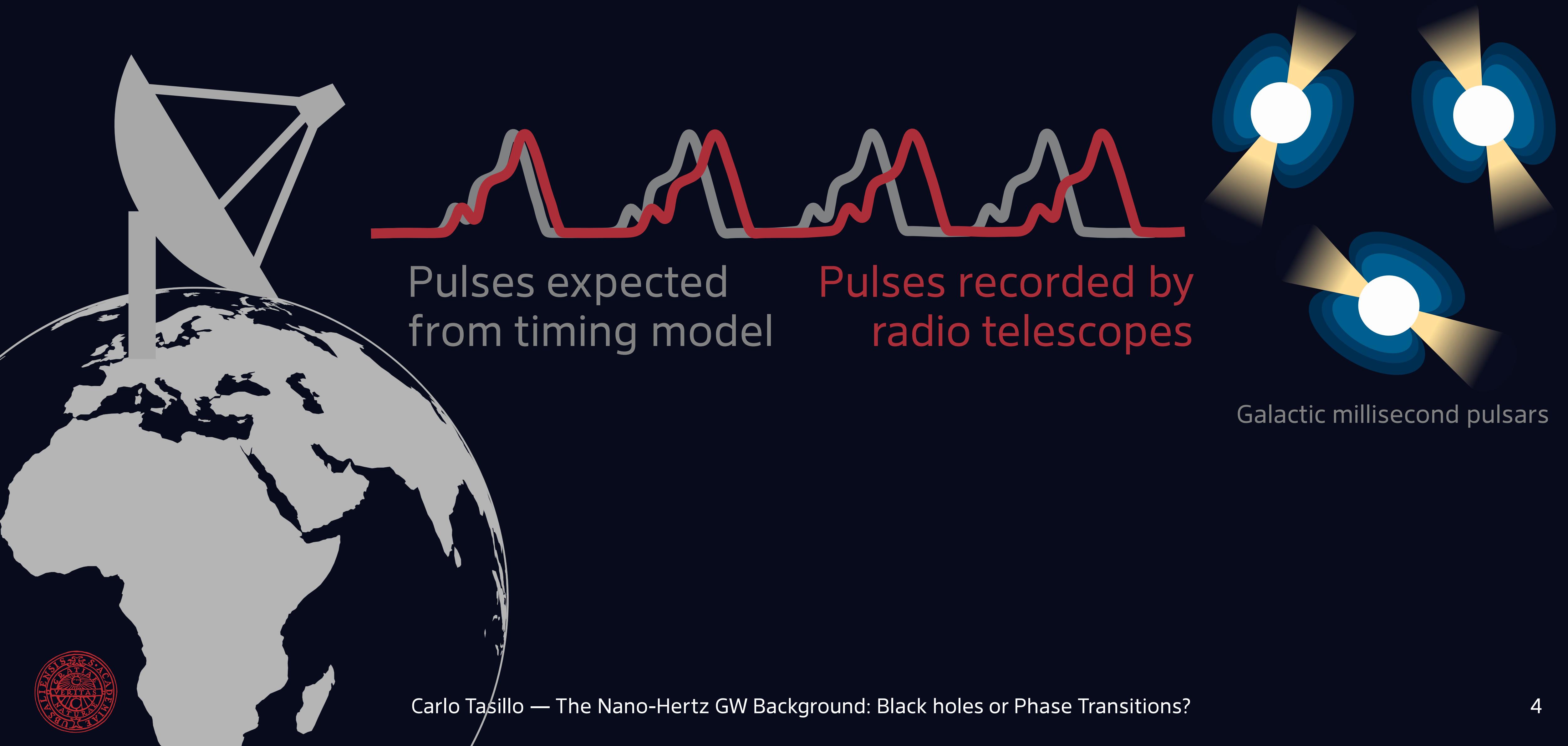
The working principle of a pulsar timing array



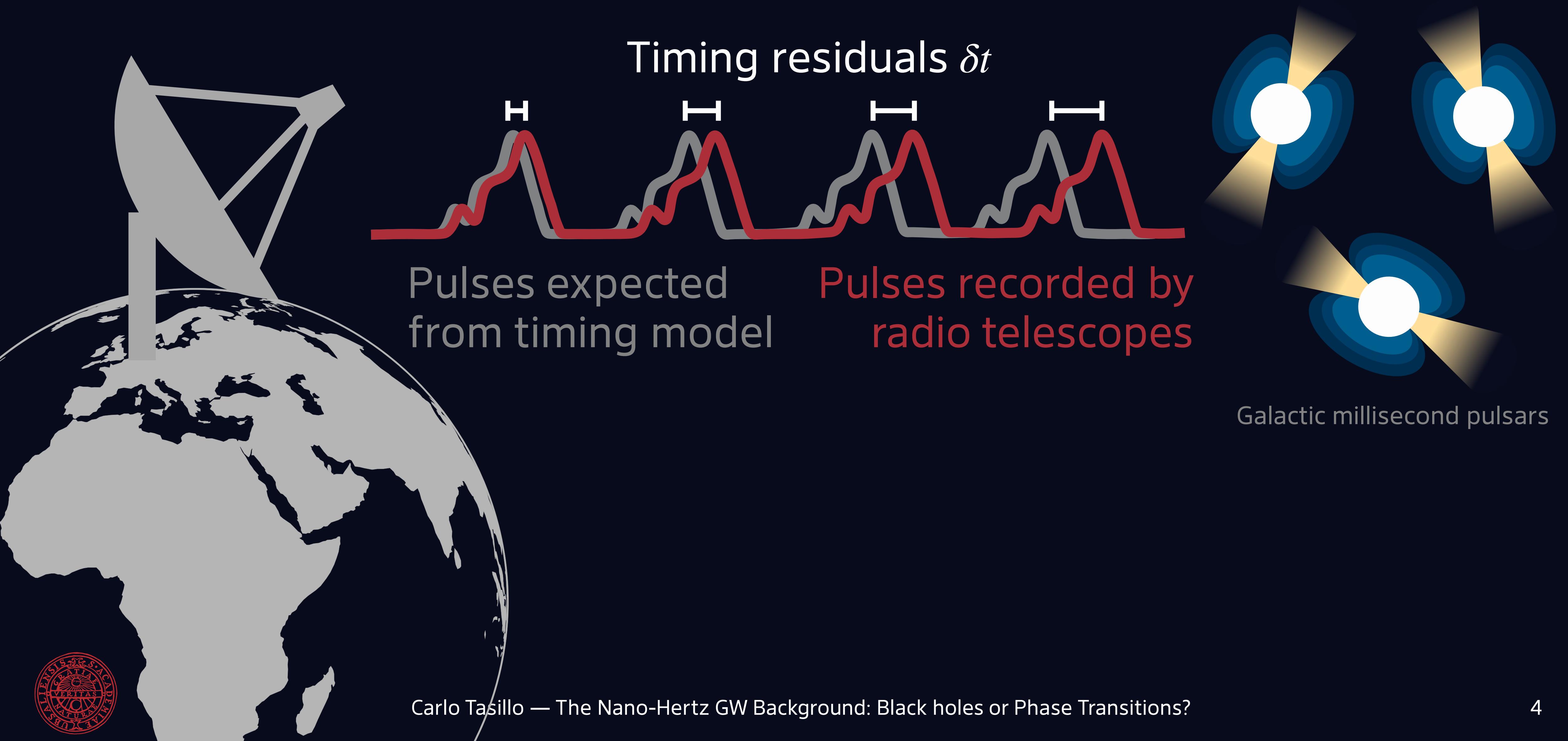
The working principle of a pulsar timing array



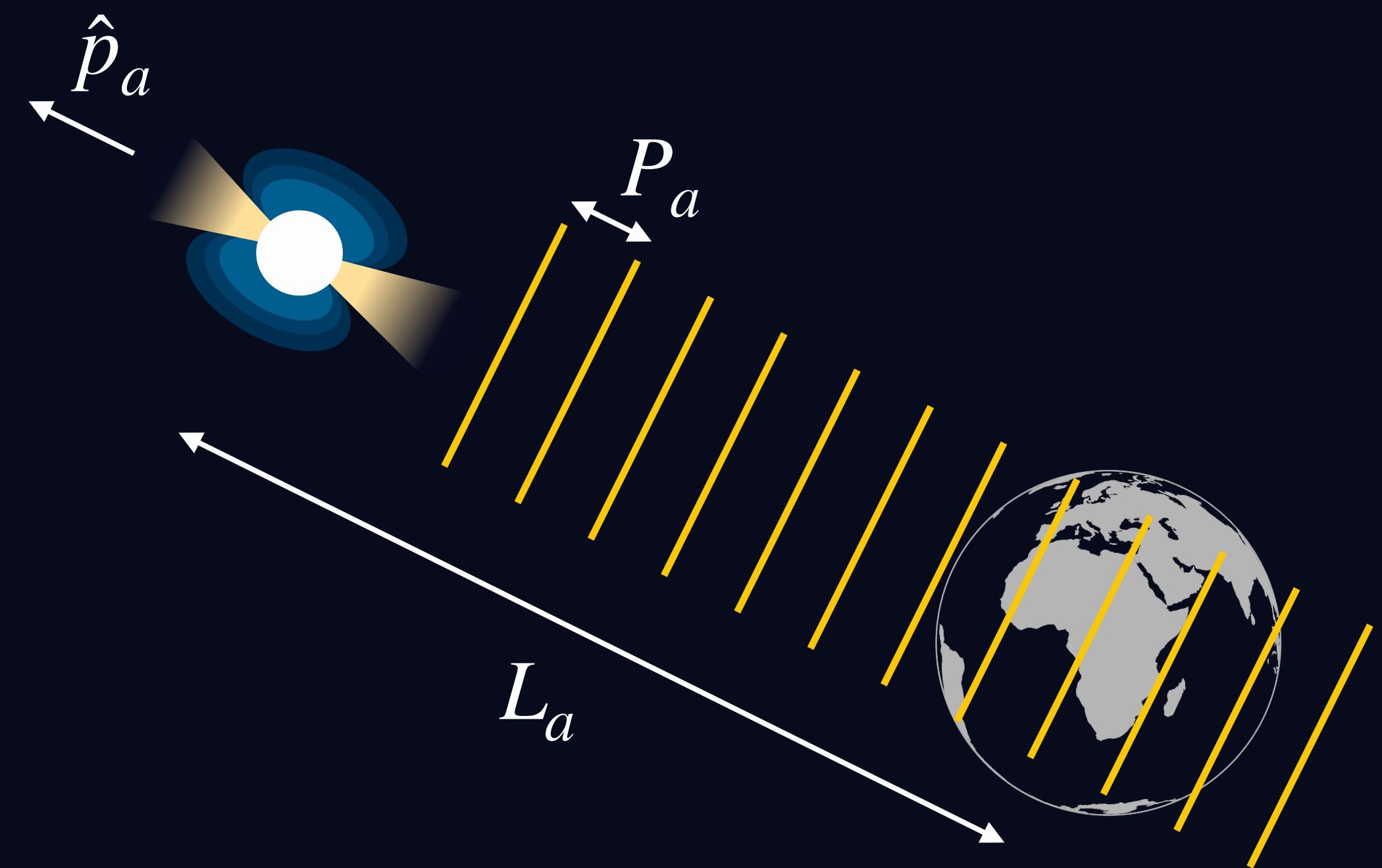
The working principle of a pulsar timing array



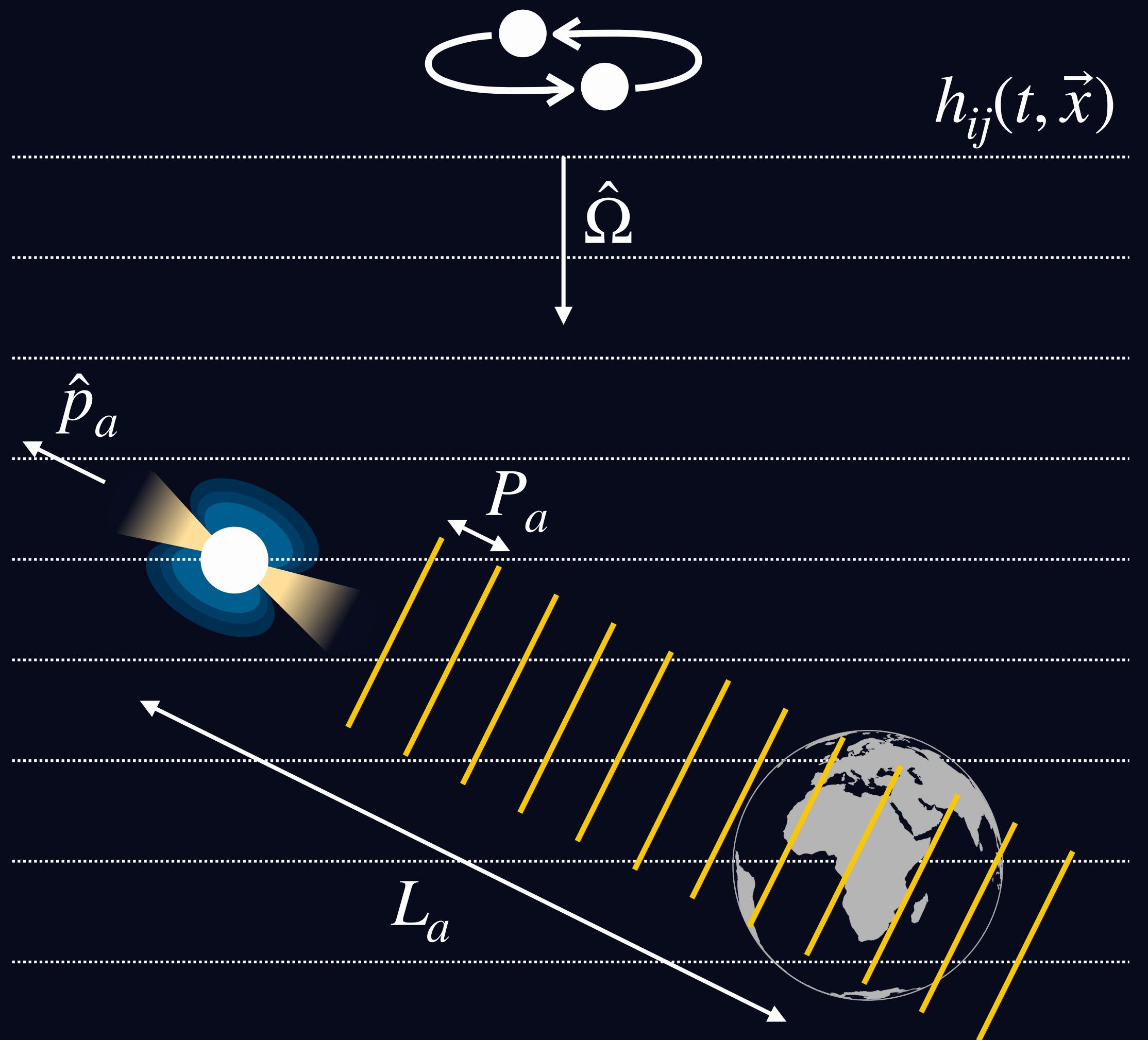
The working principle of a pulsar timing array



Single-pulsar response to a monochromatic GW



Single-pulsar response to a monochromatic GW



Single-pulsar response to a monochromatic GW

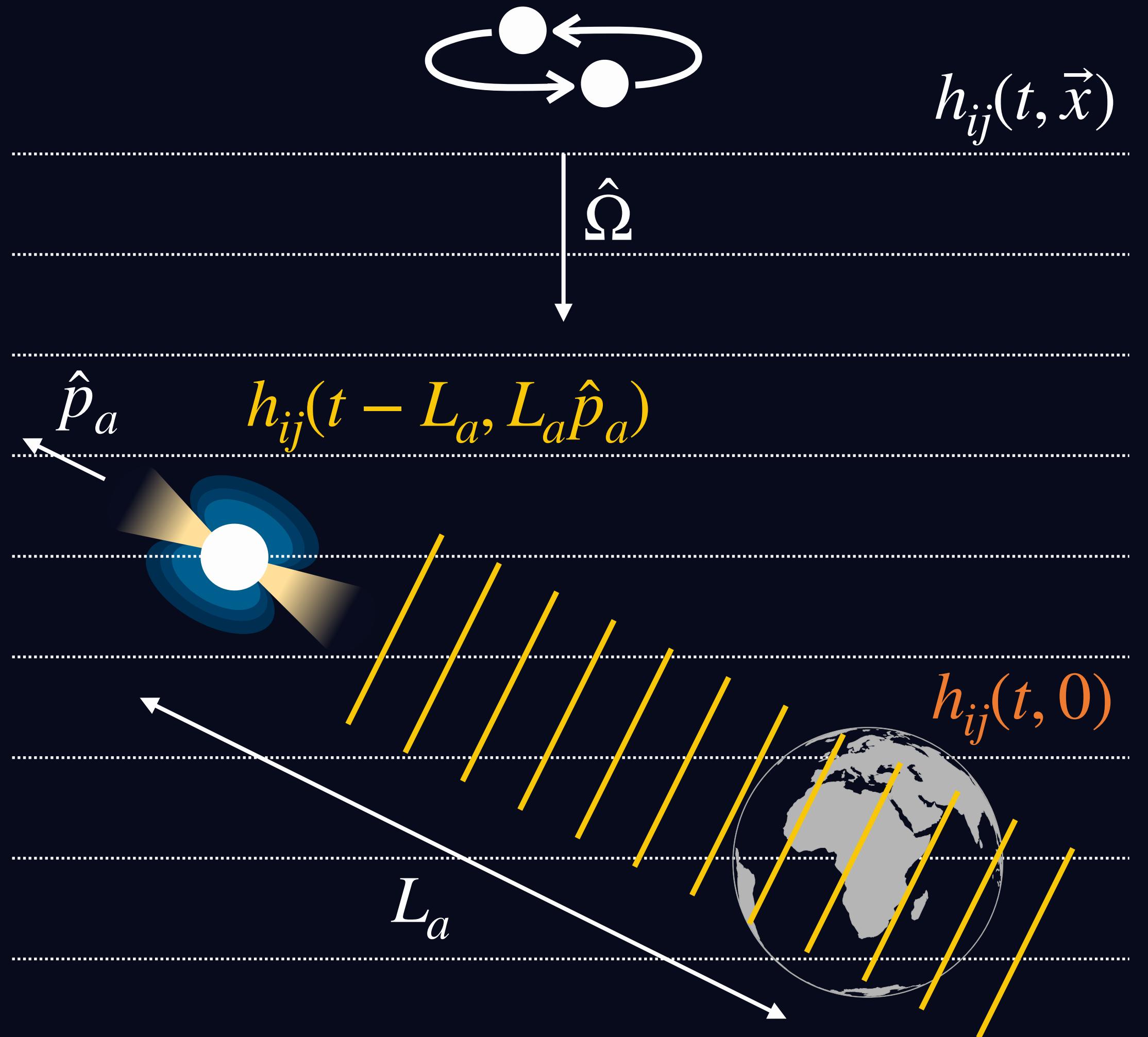
Timing
residual

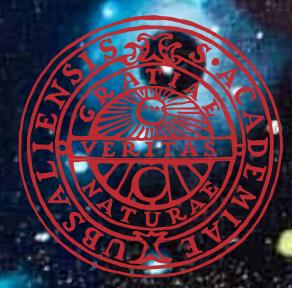
$$\frac{\delta P_a(t)}{P_a} = \frac{\hat{p}_a^i \hat{p}_a^j}{2(1 + \hat{\Omega} \cdot \hat{p}_a)} [h_{ij}(t, 0) - h_{ij}(t - L_a, L_a \hat{p}_a)]$$

Geometric
response function

„Earth term“

„Pulsar term“

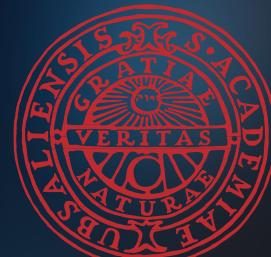




[Penelope Cowley]

GW backgrounds

$$h_{ij}(t, \vec{x}) = \sum_A \int df \int d\hat{\Omega} \tilde{h}_A(f, \hat{\Omega}) e_{ij}^A(\hat{\Omega}) e^{-2\pi i f(t - \hat{\Omega} \cdot \vec{x})}$$



GW backgrounds

Polarization tensors

$$h_{ij}(t, \vec{x}) = \sum_A \int df \int d\hat{\Omega} \underbrace{\tilde{h}_A(f, \hat{\Omega})}_{\text{„Fourier“ amplitudes, Treated as random variables}} \underbrace{e_{ij}^A(\hat{\Omega})}_{\text{Plane waves}} e^{-2\pi i f(t - \hat{\Omega} \cdot \vec{x})}$$



GW backgrounds

Polarization tensors

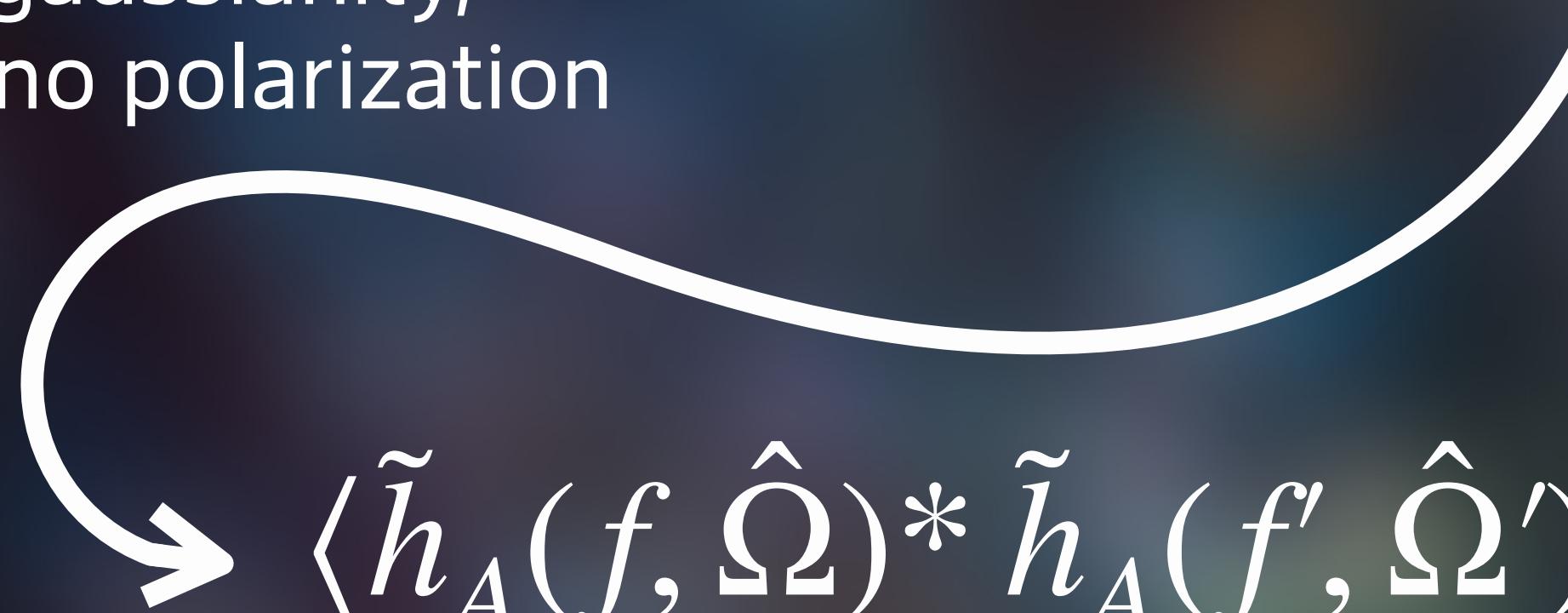
$$h_{ij}(t, \vec{x}) = \sum_A \int df \int d\hat{\Omega} \tilde{h}_A(f, \hat{\Omega}) \overline{e_{ij}^A(\hat{\Omega})} e^{-2\pi i f(t - \hat{\Omega} \cdot \vec{x})}$$

„Fourier“ amplitudes,

Treated as random variables

Plane waves

Isotropy, gaussianity,
stationarity, no polarization


$$\langle \tilde{h}_A(f, \hat{\Omega})^* \tilde{h}_A(f', \hat{\Omega}') \rangle \propto \delta(f - f') H(f)$$



GW backgrounds

Polarization tensors

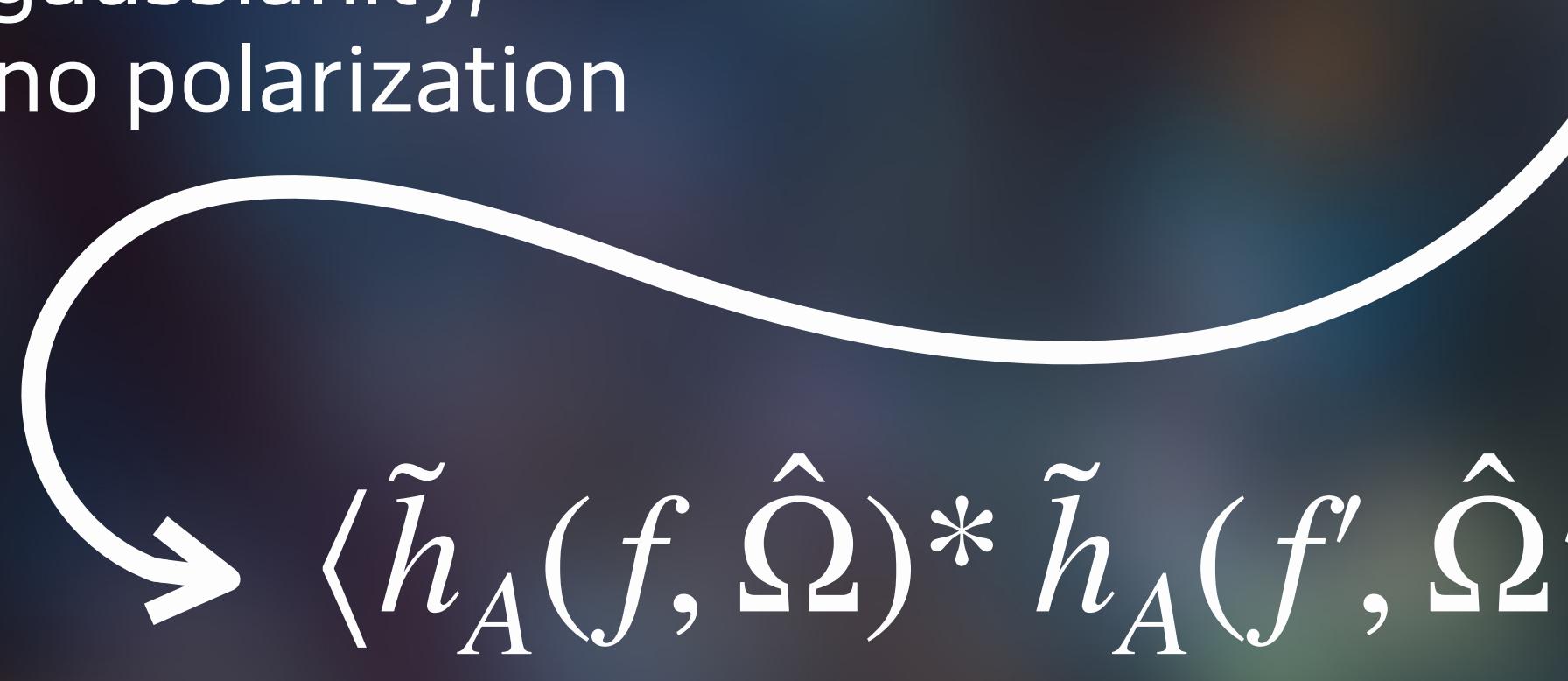
$$h_{ij}(t, \vec{x}) = \sum_A \int df \int d\hat{\Omega} \tilde{h}_A(f, \hat{\Omega}) \overline{e_{ij}^A(\hat{\Omega})} e^{-2\pi i f(t - \hat{\Omega} \cdot \vec{x})}$$

„Fourier“ amplitudes,
Treated as random variables

Isotropy, gaussianity,
stationarity, no polarization

Plane waves

**The GWB is fully
described by its**

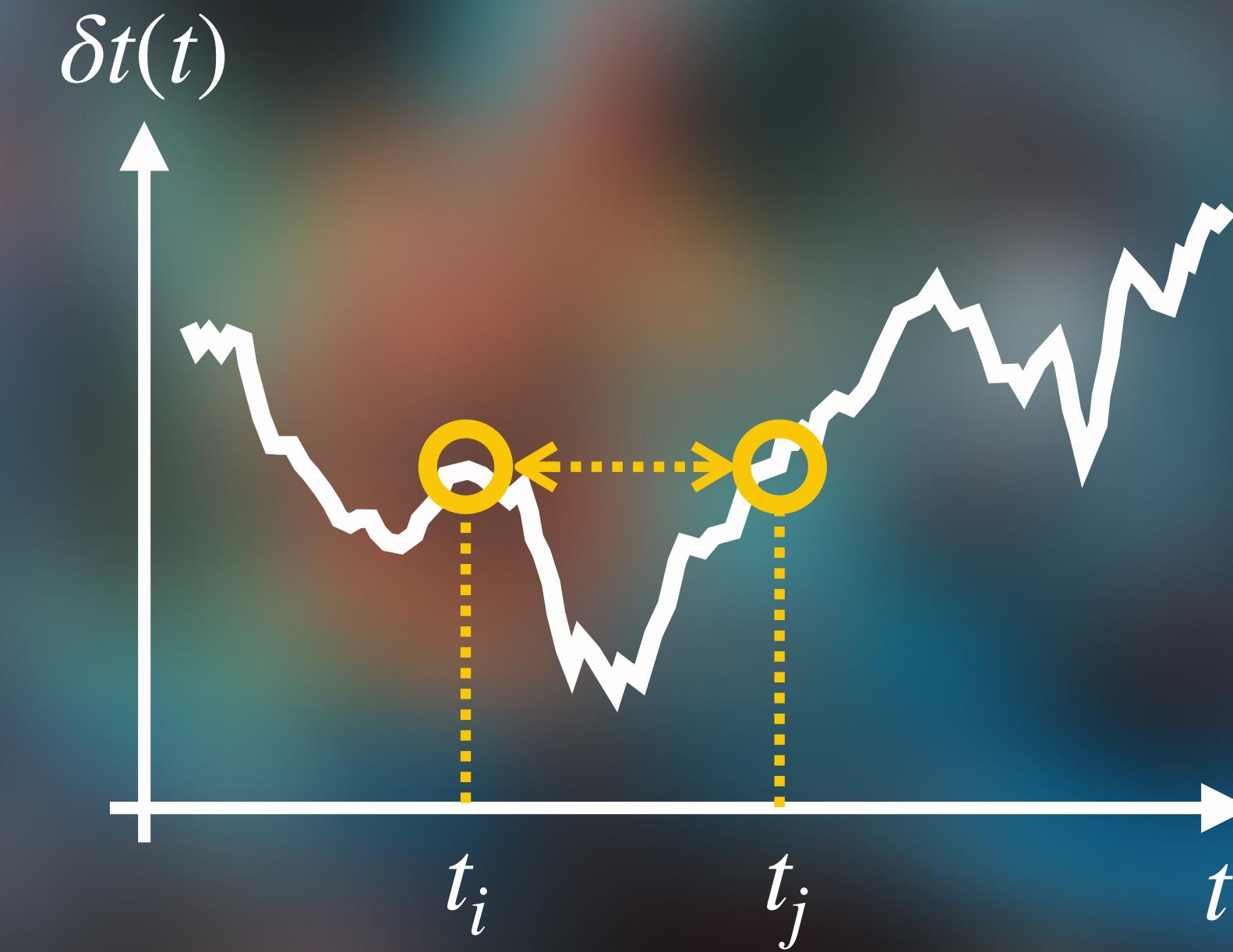

$$\langle \tilde{h}_A(f, \hat{\Omega})^* \tilde{h}_A(f', \hat{\Omega}') \rangle \propto \delta(f - f') \overline{H(f)}$$

spectrum!

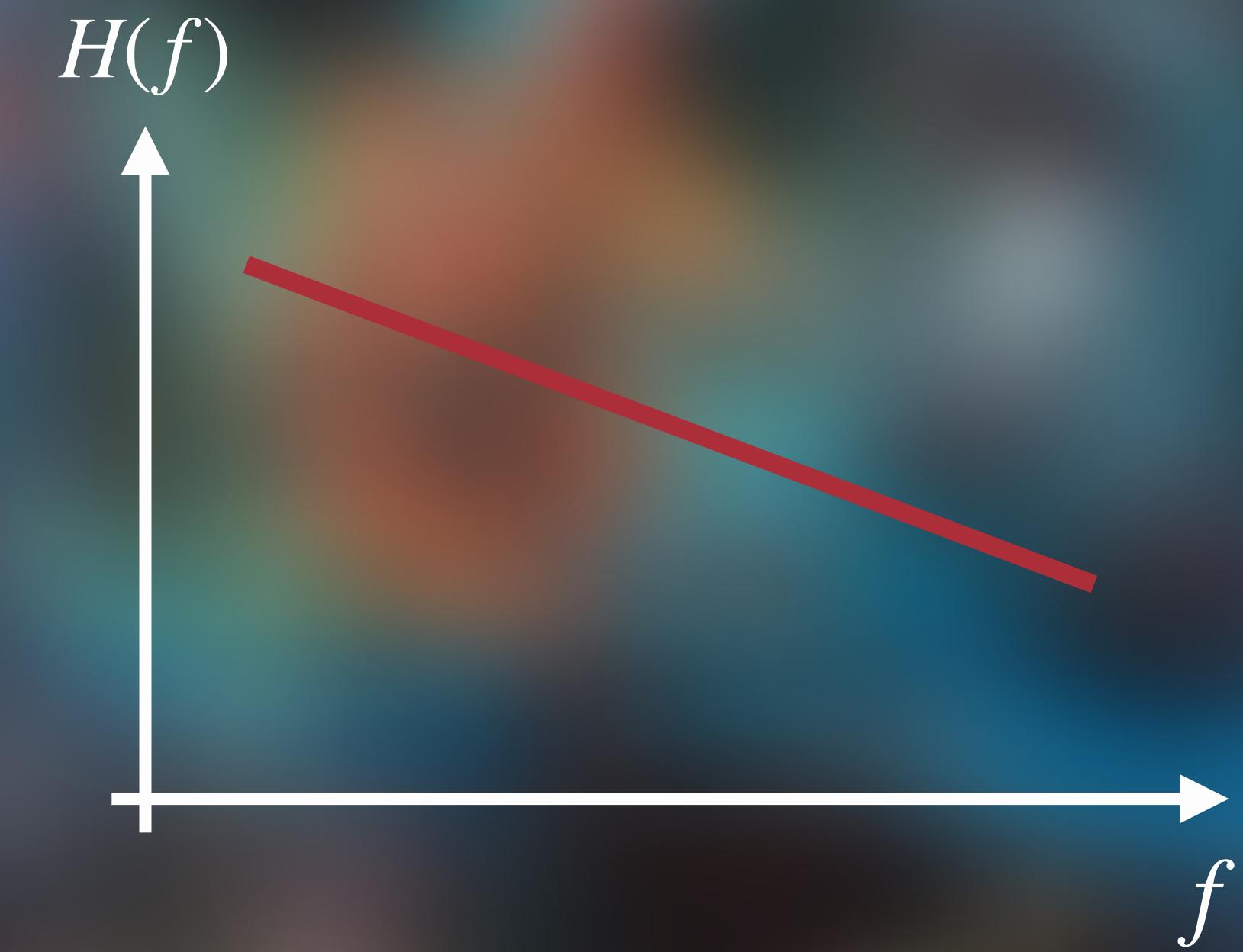
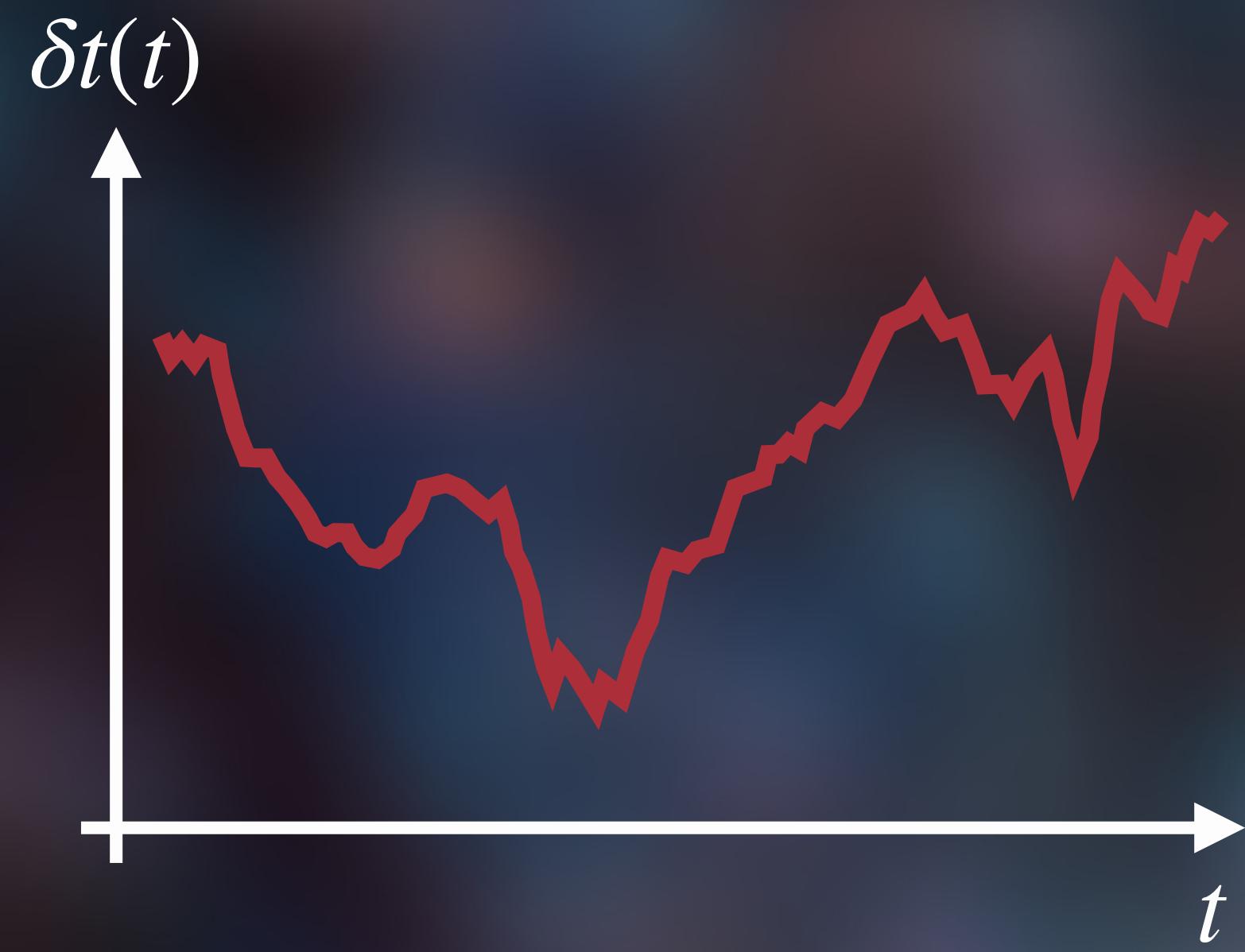


Correlations between timing residuals

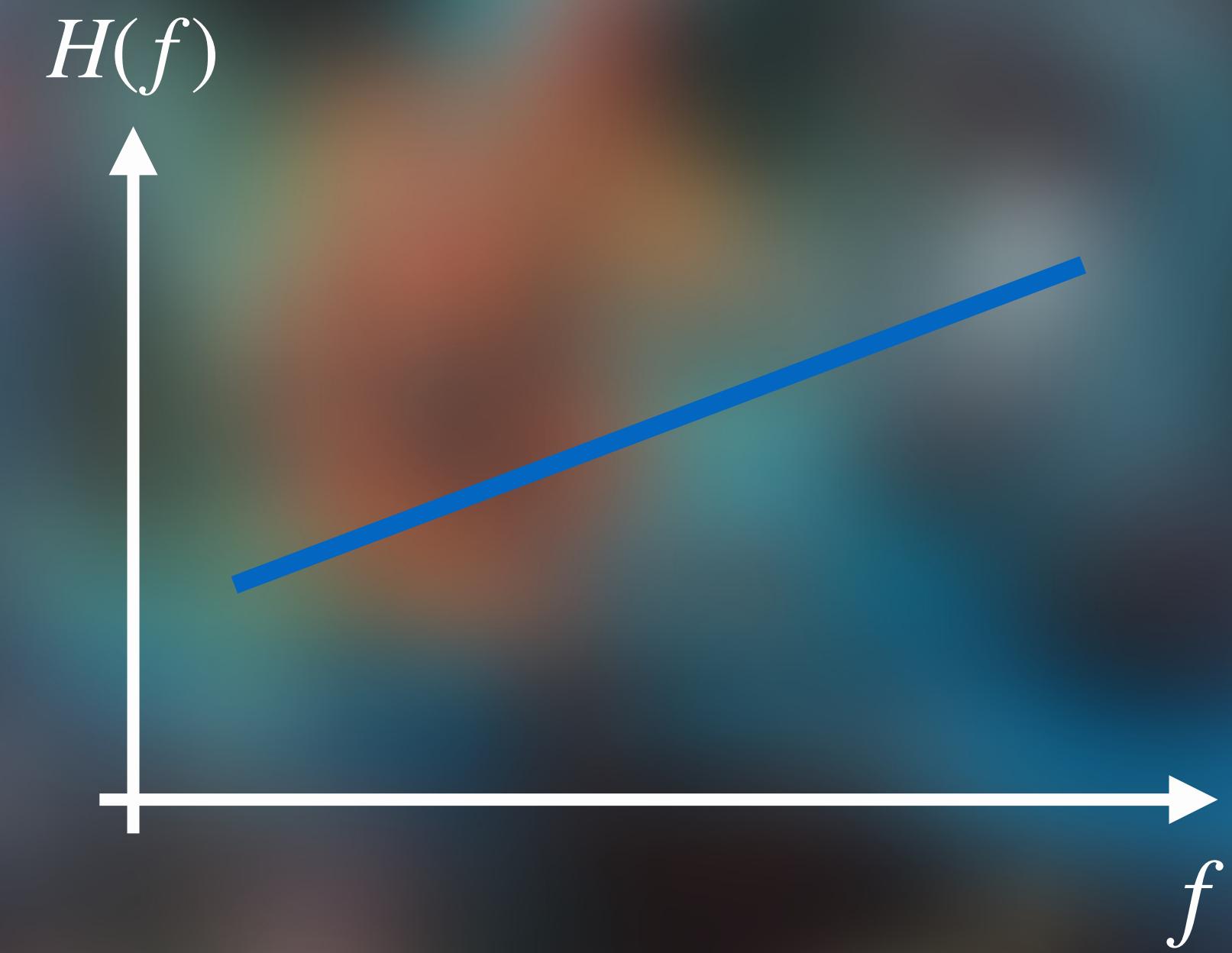
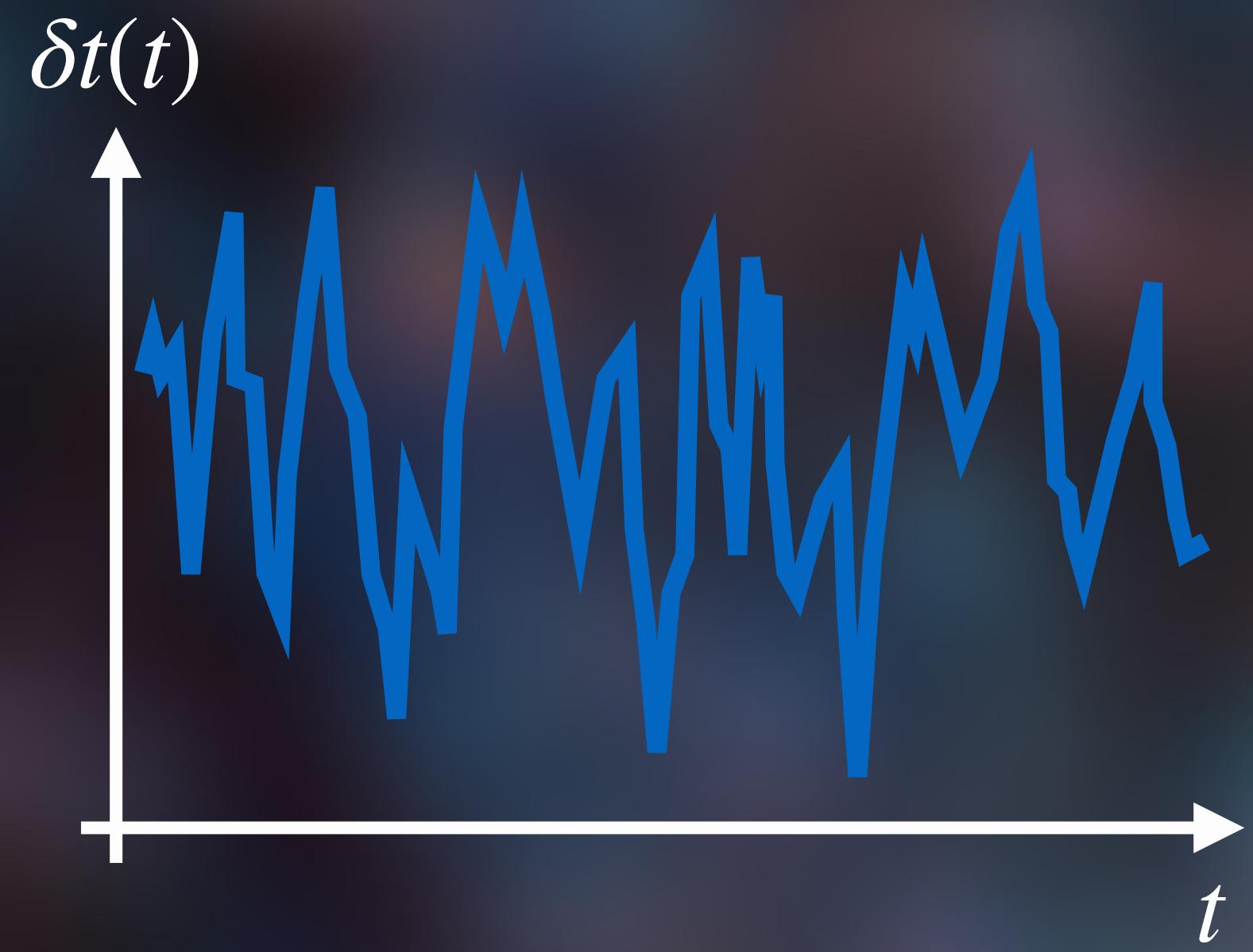
$$\langle \delta t(t_i) \delta t(t_j) \rangle \propto \int df H(f) e^{2\pi i f(t_i - t_j)}$$



Correlations between timing residuals

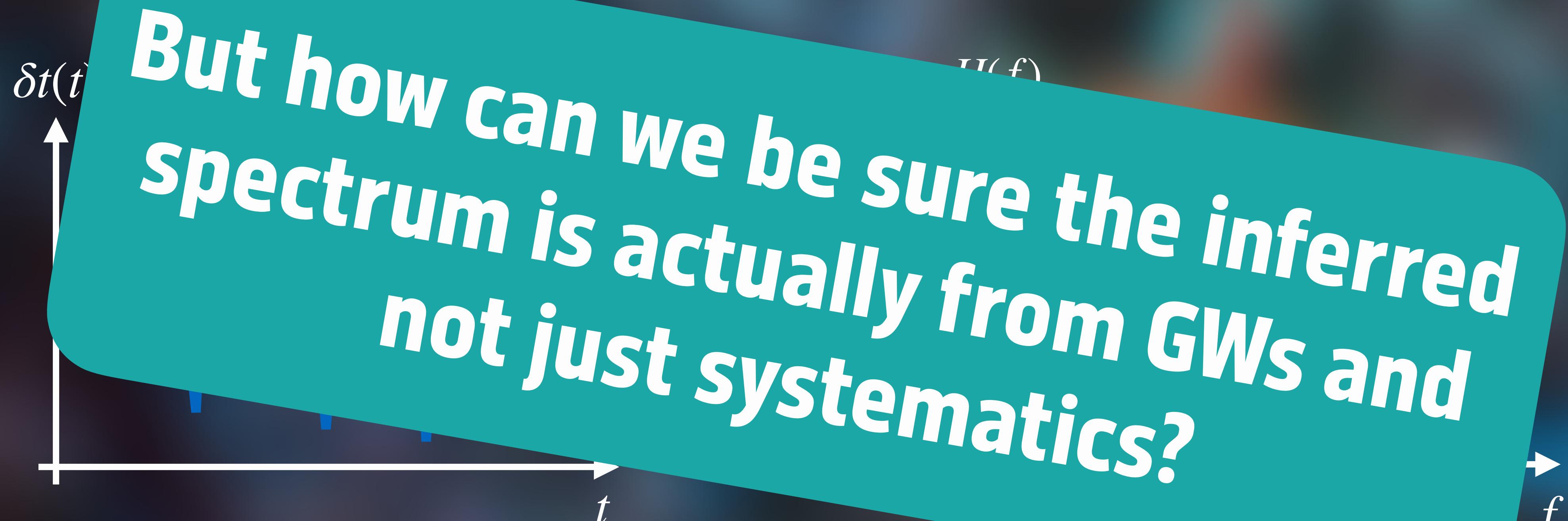


Correlations between timing residuals



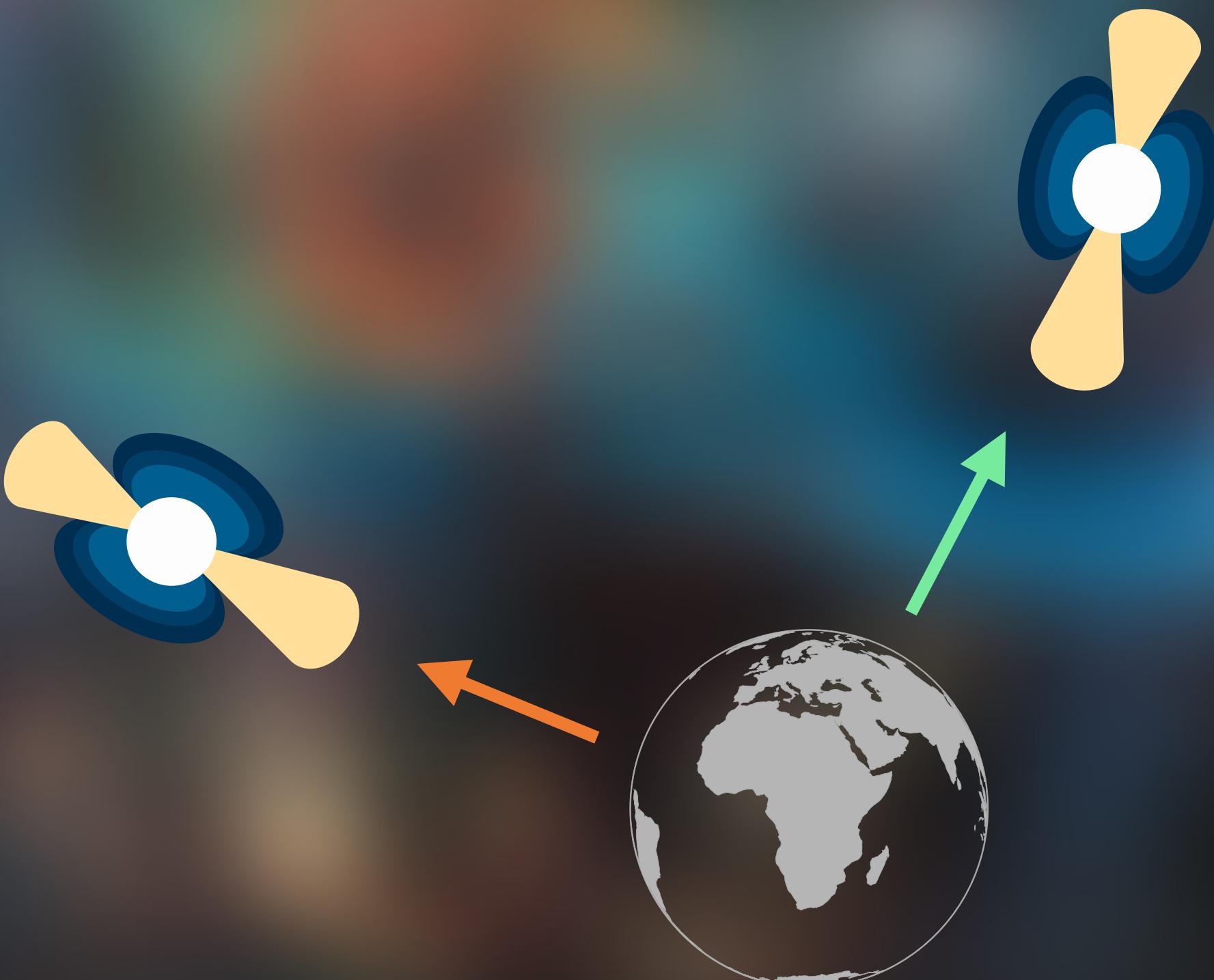
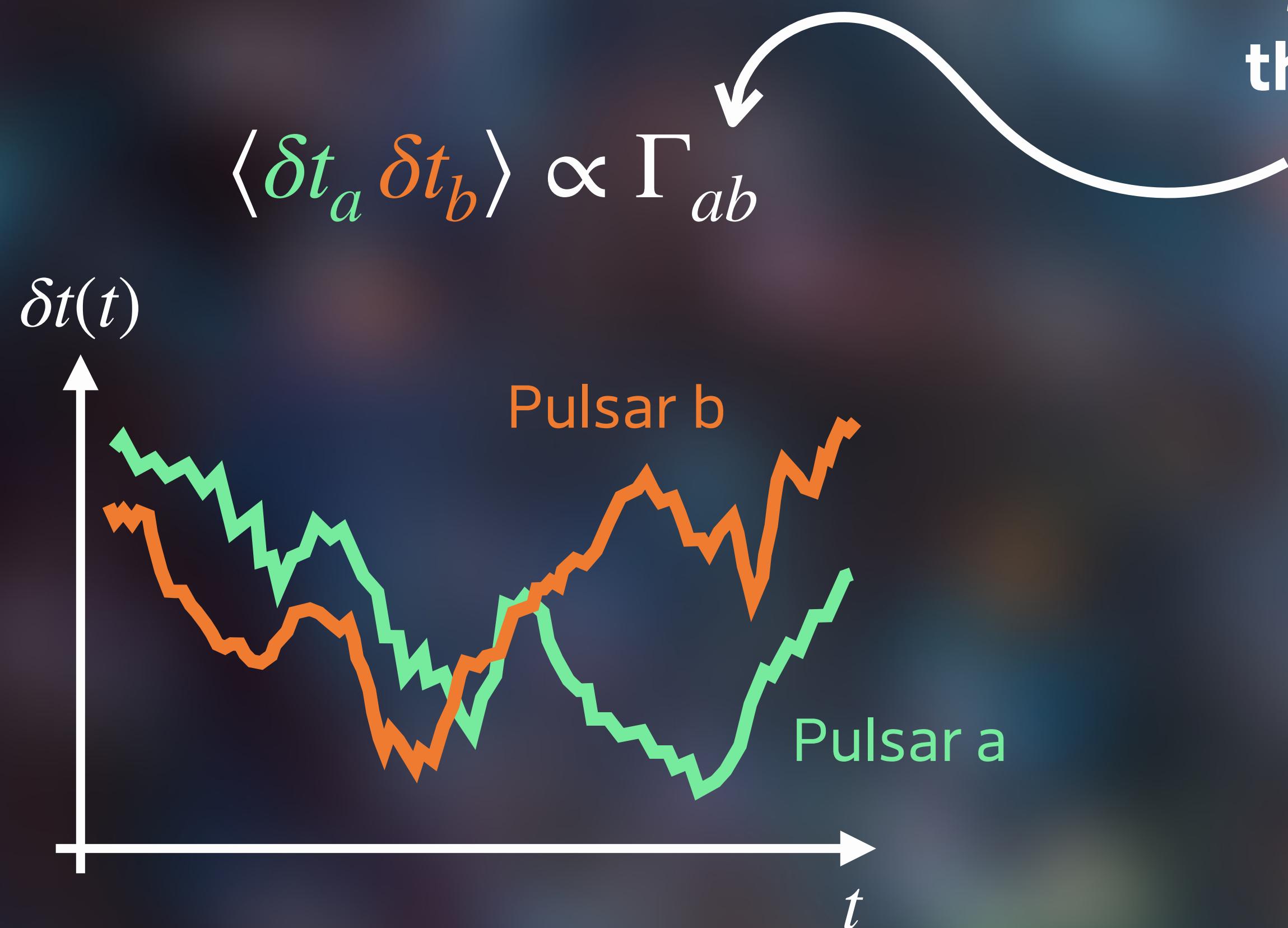
Correlations between timing residuals

But how can we be sure the inferred spectrum is actually from GWs and not just systematics?

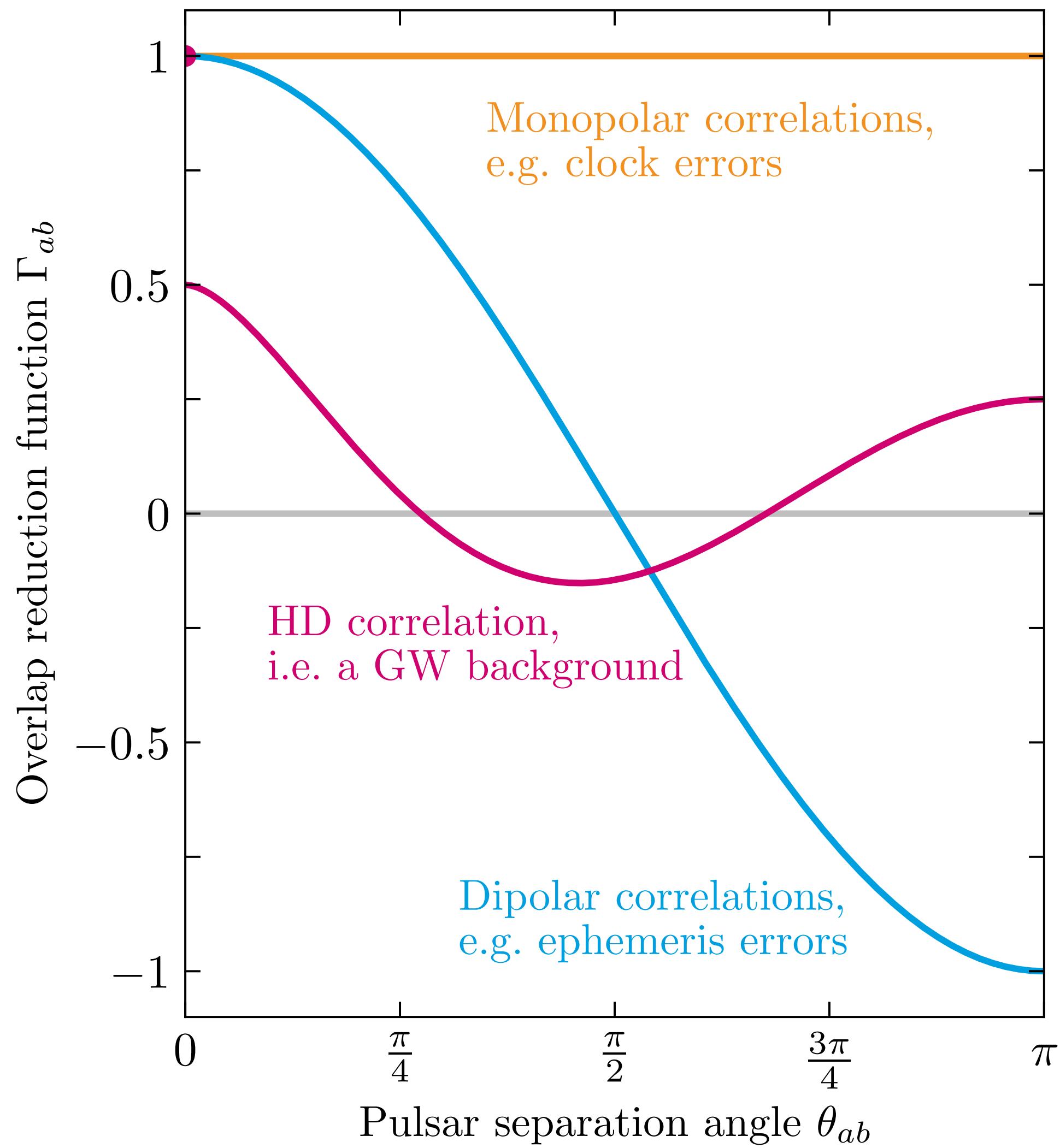


Correlations between timing residuals

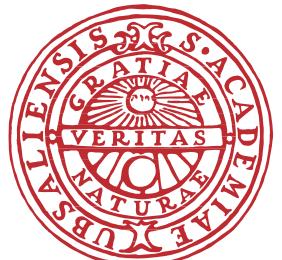
If the source of the common spectrum among pulsars is GWs, there is a characteristic correlation between different pulsars



Searching for the Hellings-Downs correlation

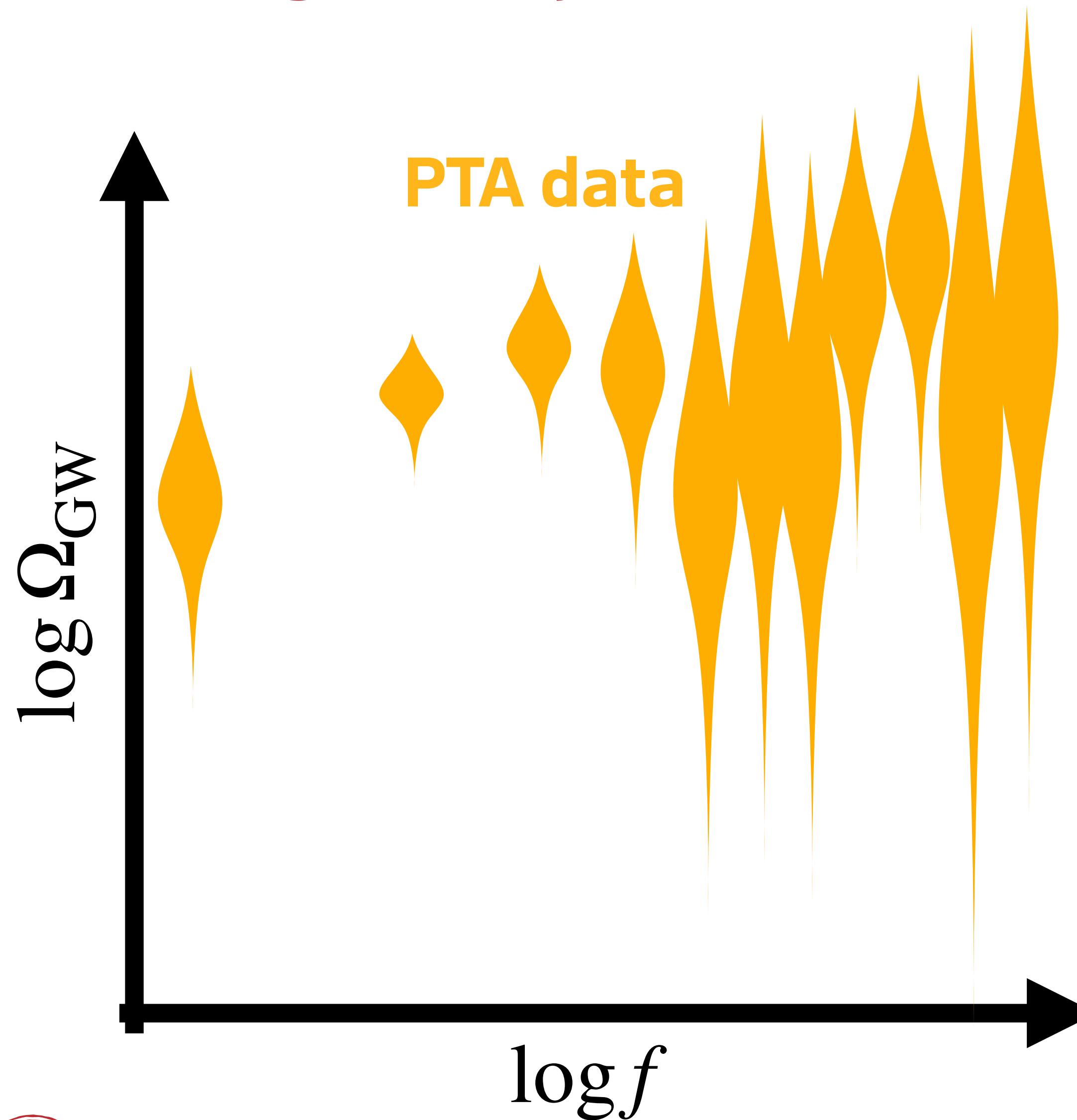


- PTAs found an underlying „common red process“ among $\mathcal{O}(70)$ pulsars
- Signal could have many sources:
 - Pulsars themselves, **Clock errors, Ephemeris errors:**
All ruled out with $>5\sigma$ significance
 - Gravitational wave background:**
 $3 - 4\sigma$ evidence [NANOGrav, 2023]

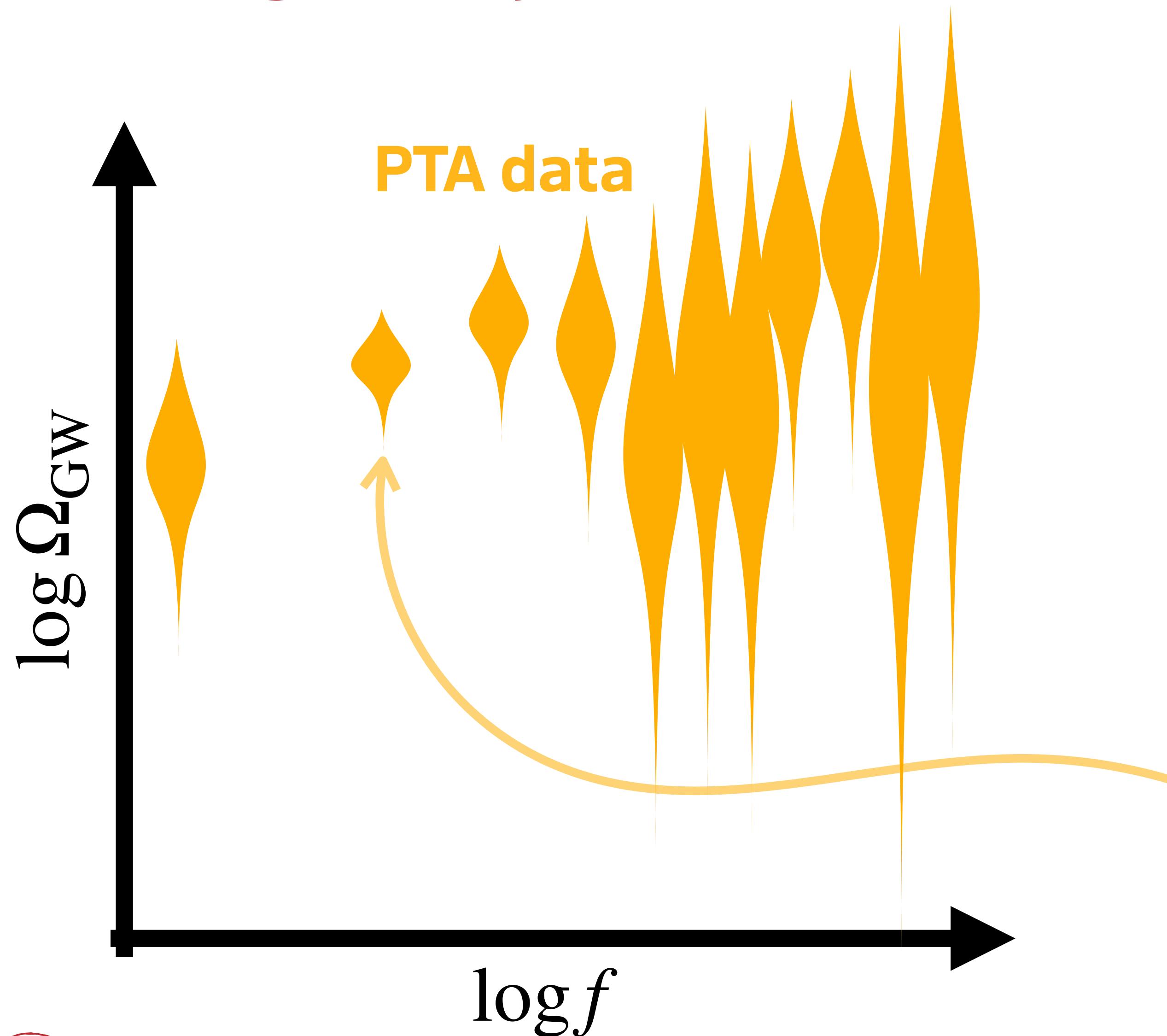


What is the source?

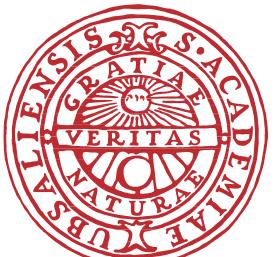
Learning to play the violin



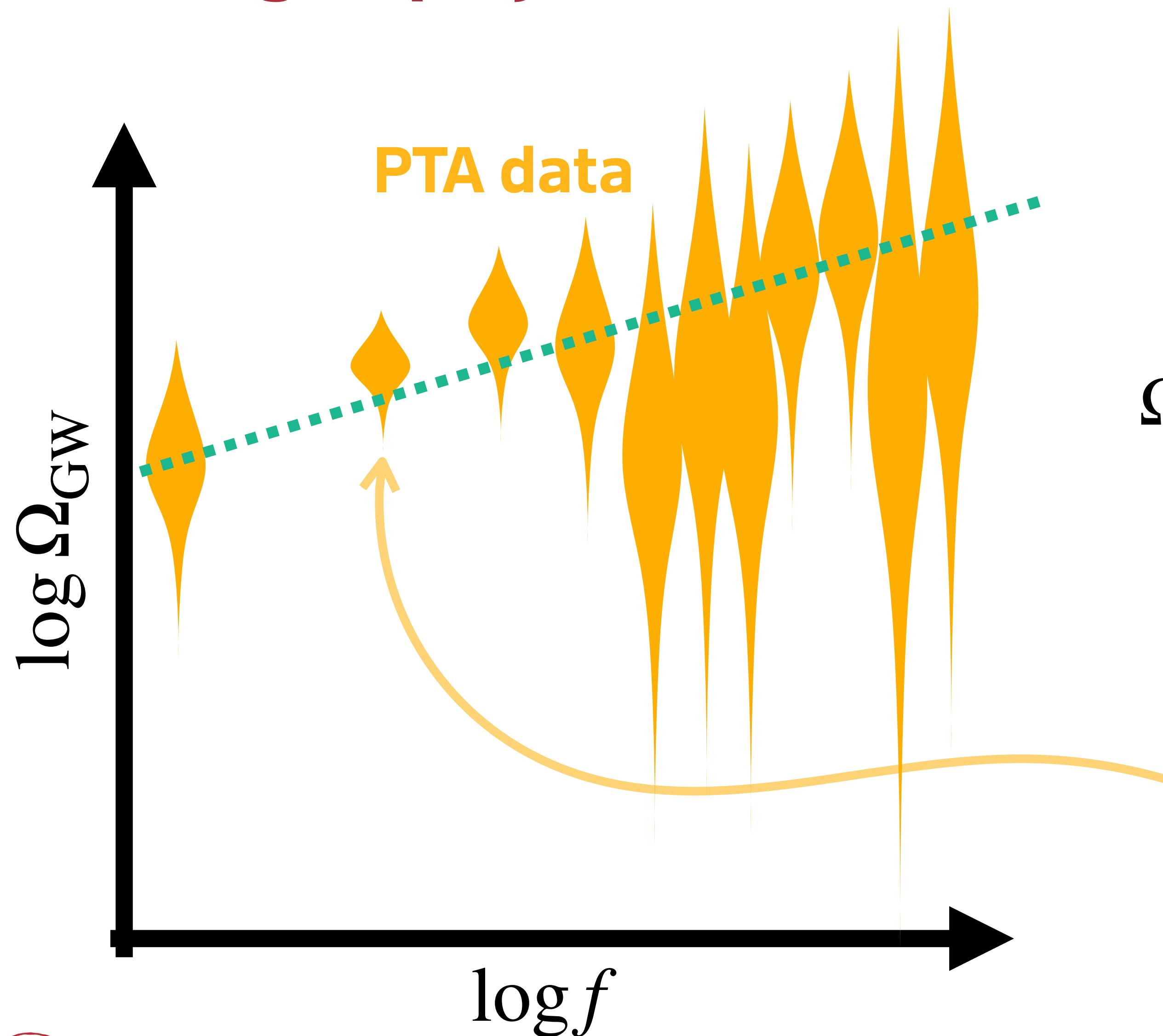
Learning to play the violin



Each „violin” in the Bayesian spectrogram can be understood as a data point with non-Gaussian error bar, describing the Fourier amplitude of a given frequency.



Learning to play the violin



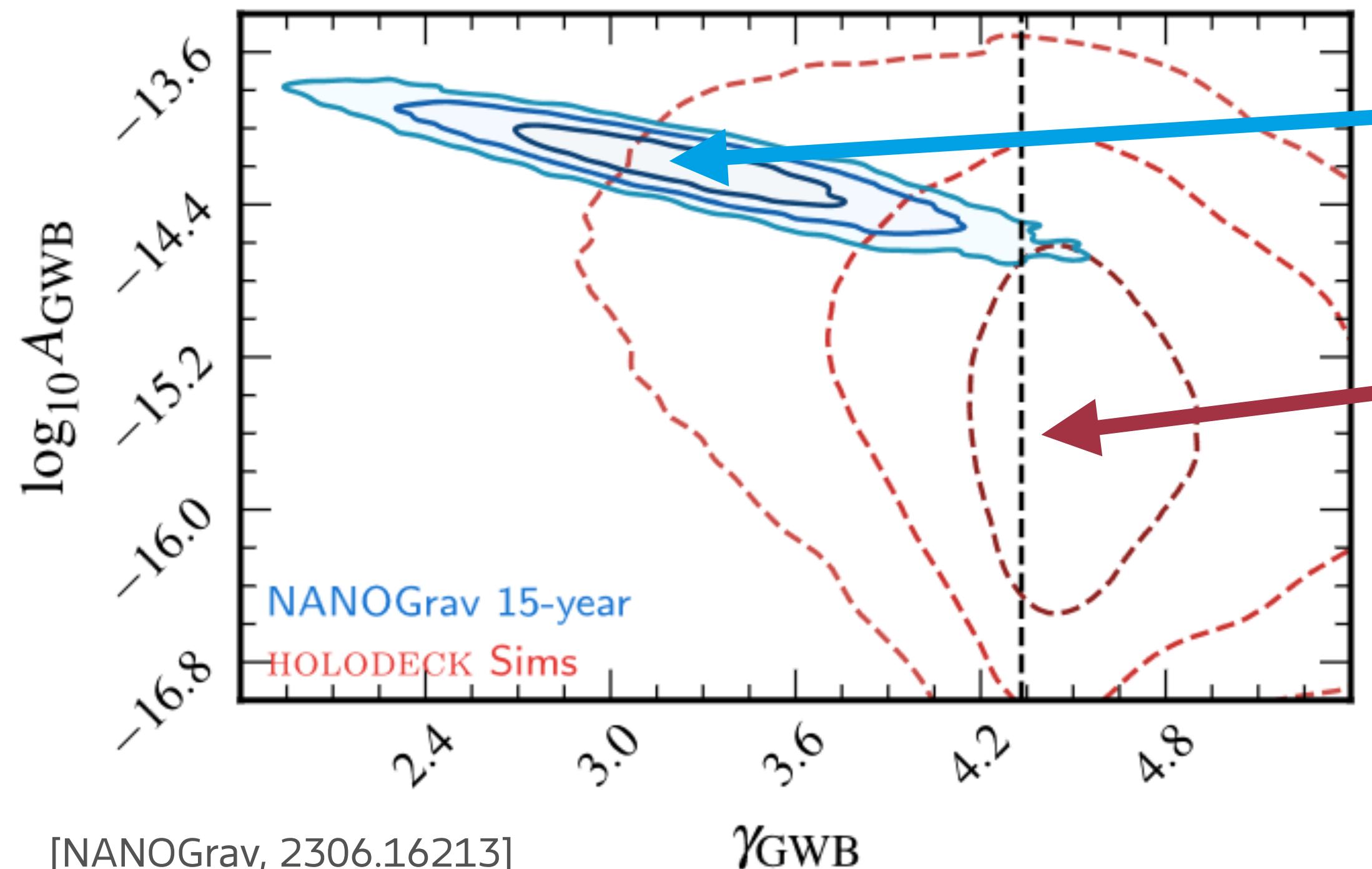
The inferred spectral shape of the GWB is well described by a power law

$$\Omega_{\text{GW}}(f) = \frac{2\pi^2}{3H_0^2} A^2 \left(\frac{f}{1 \text{ yr}^{-1}} \right)^{5-\gamma} \text{ yr}^{-2}$$

Each „violin“ in the Bayesian spectrogram can be understood as a data point with non-Gaussian error bar, describing the Fourier amplitude of a given frequency.

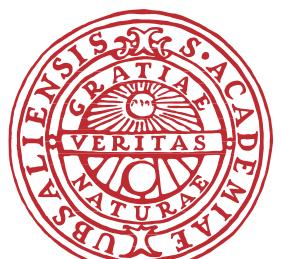


Merging supermassive black holes

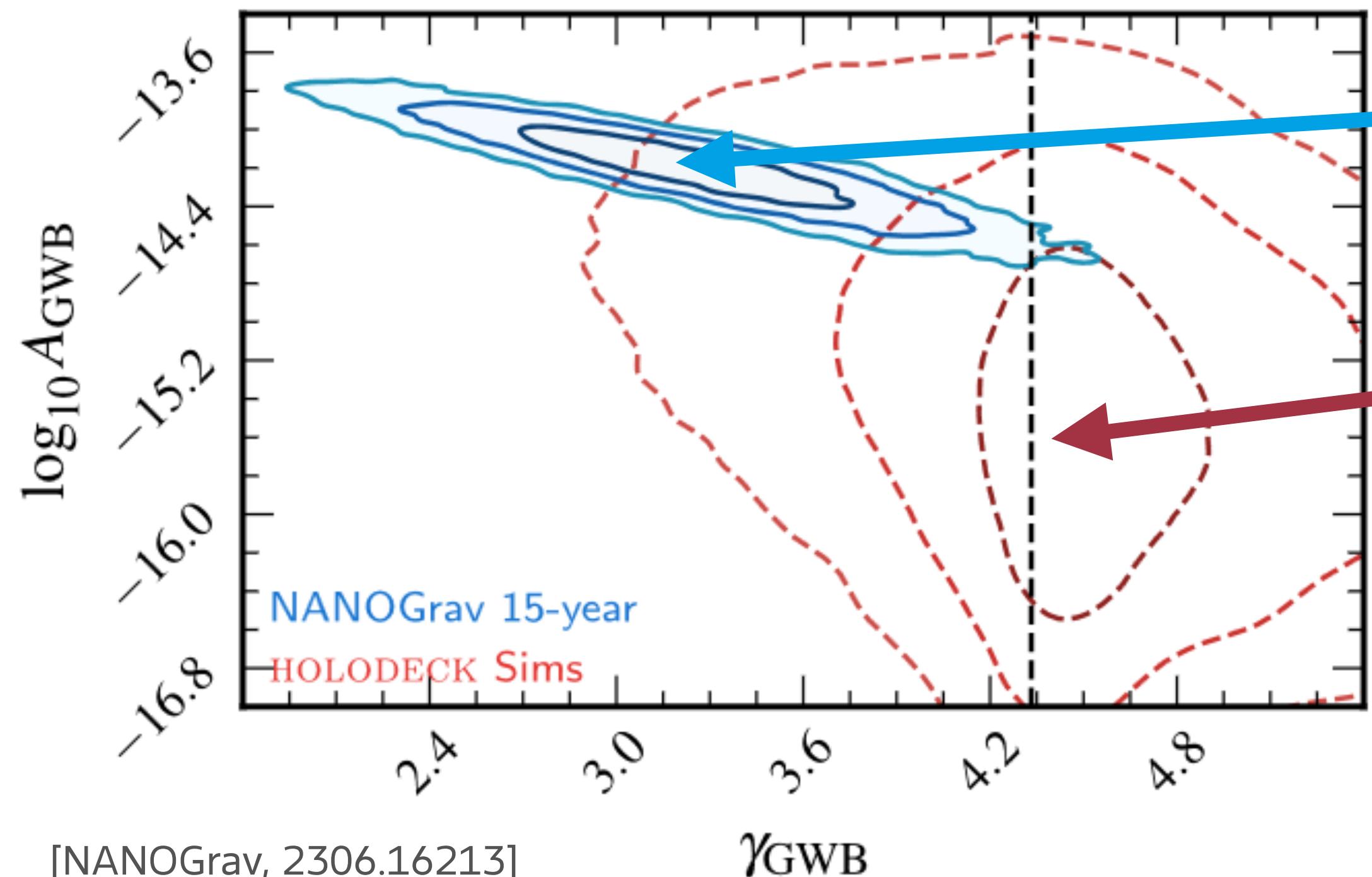


Observed signal follows a power-law spectrum with amplitude A and slope γ

Astrophysical simulations based on realistic BH populations predict much weaker signals with higher γ (more power in low frequencies)



Merging supermassive black holes



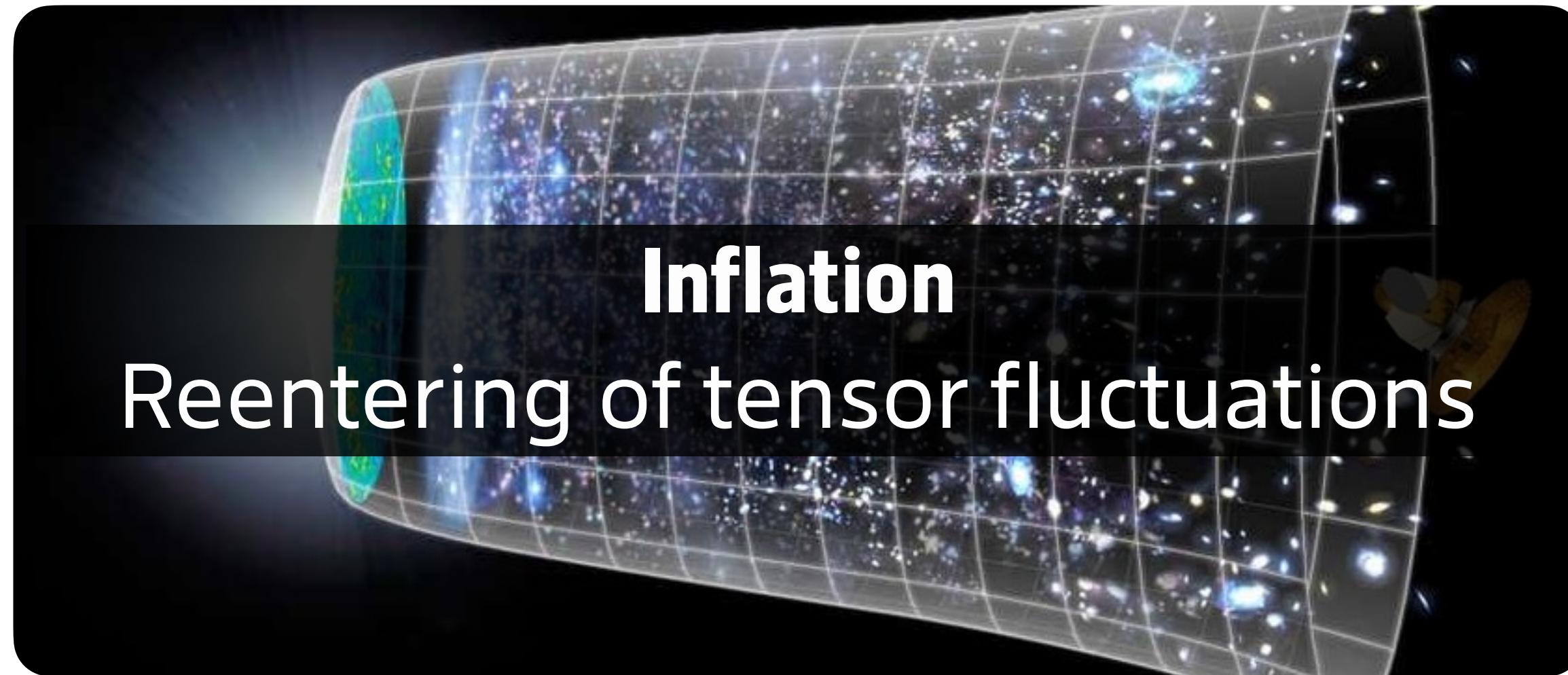
Observed signal follows a power-law spectrum with amplitude A and slope γ

Astrophysical simulations based on realistic BH populations predict much weaker signals with higher γ (more power in low frequencies)

Are there other signal sources?



Possible cosmological sources of the PTA signal



Inflation
Reentering of tensor fluctuations



Phase transitions
Connection to dark matter?



Topological defects
Cosmic strings and domain walls

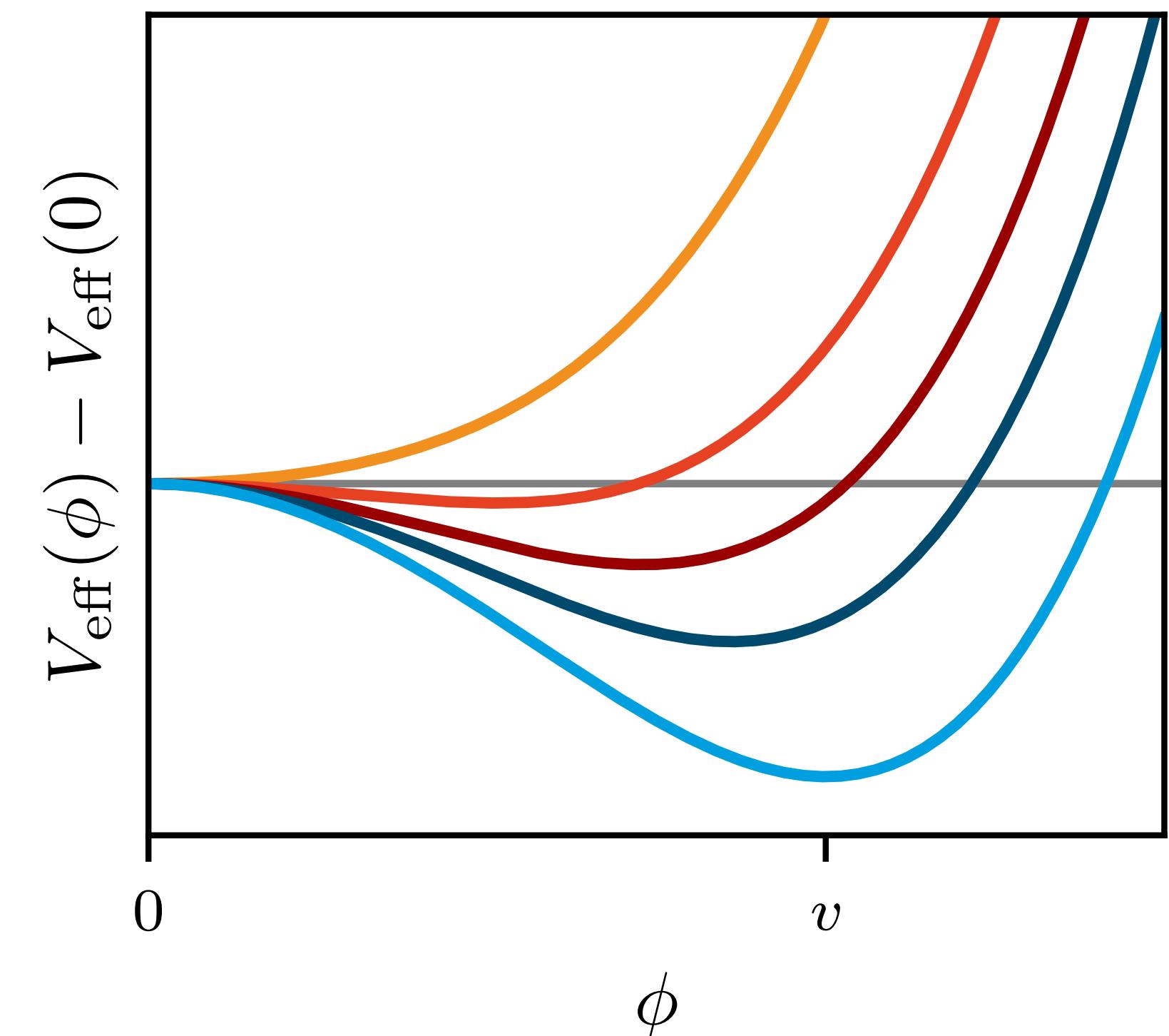


Primordial black holes
But only if they are clustered



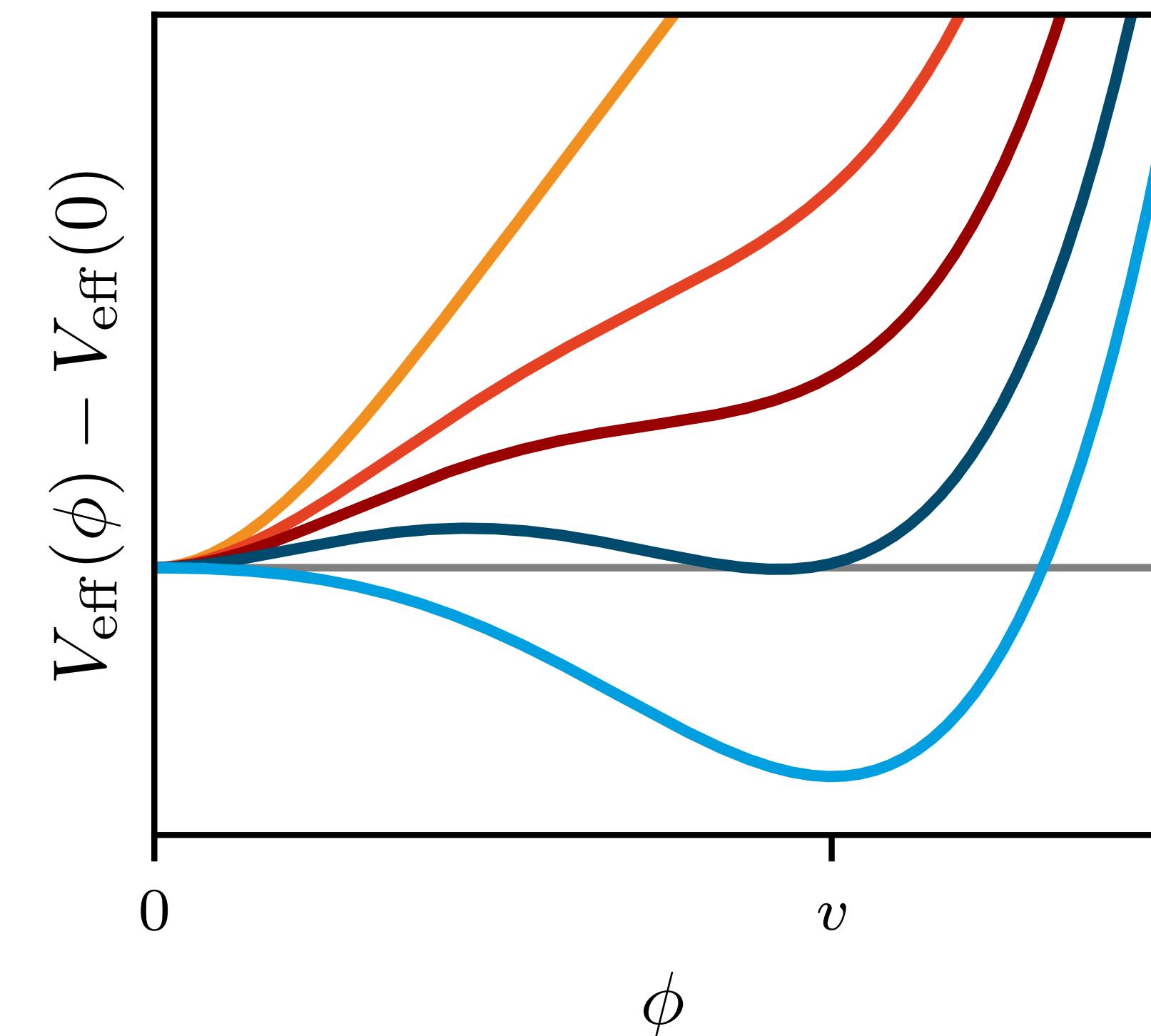
First-order phase transitions vs. cross-overs

Cross-over phase transition

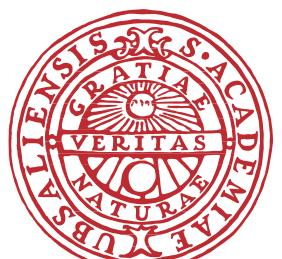


A scalar field “rolls down” from $\phi = 0$ to $\phi = v$, when the plasma cools from **high temperatures** to **low temperatures**.

First-order phase transition

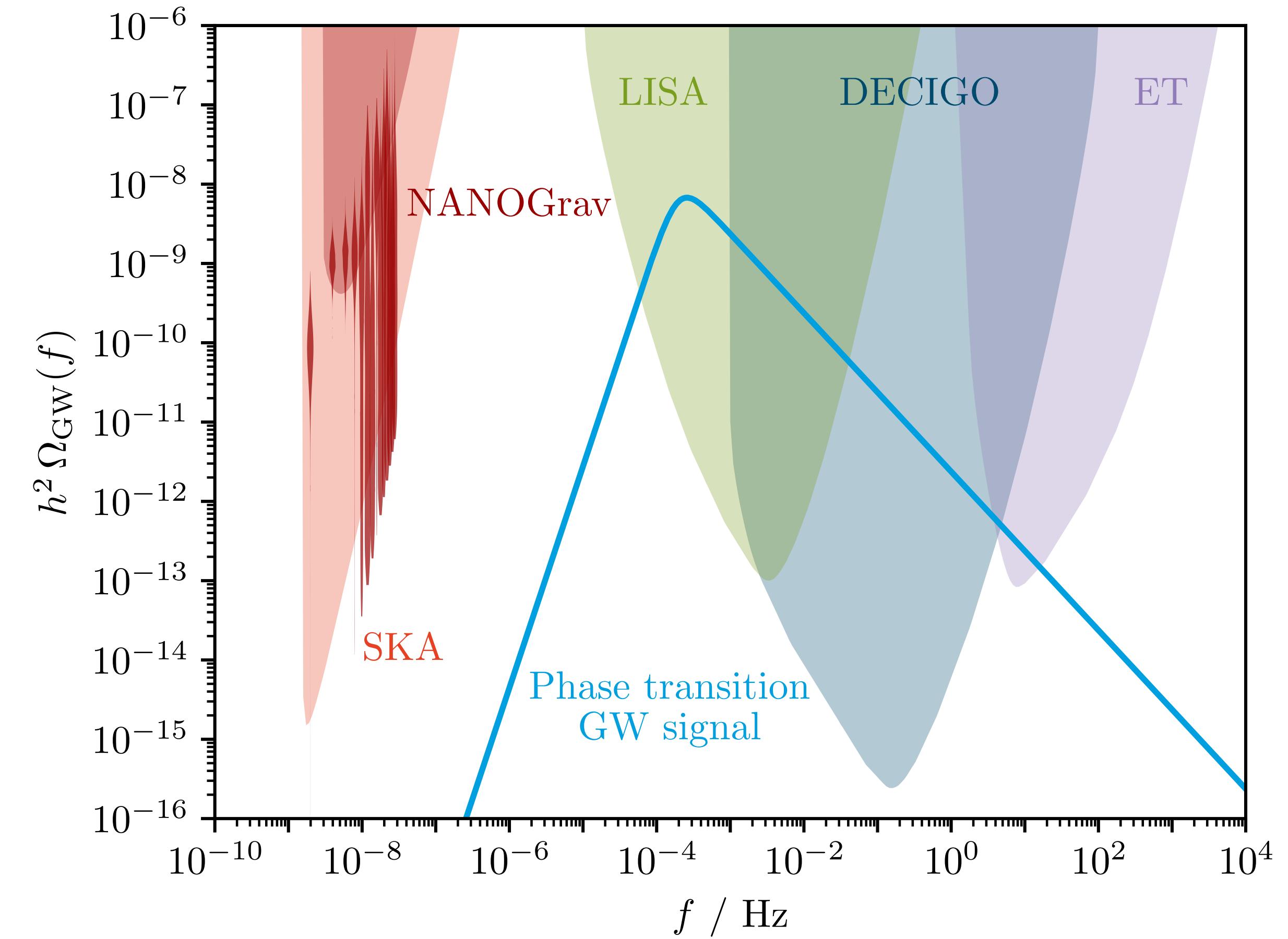
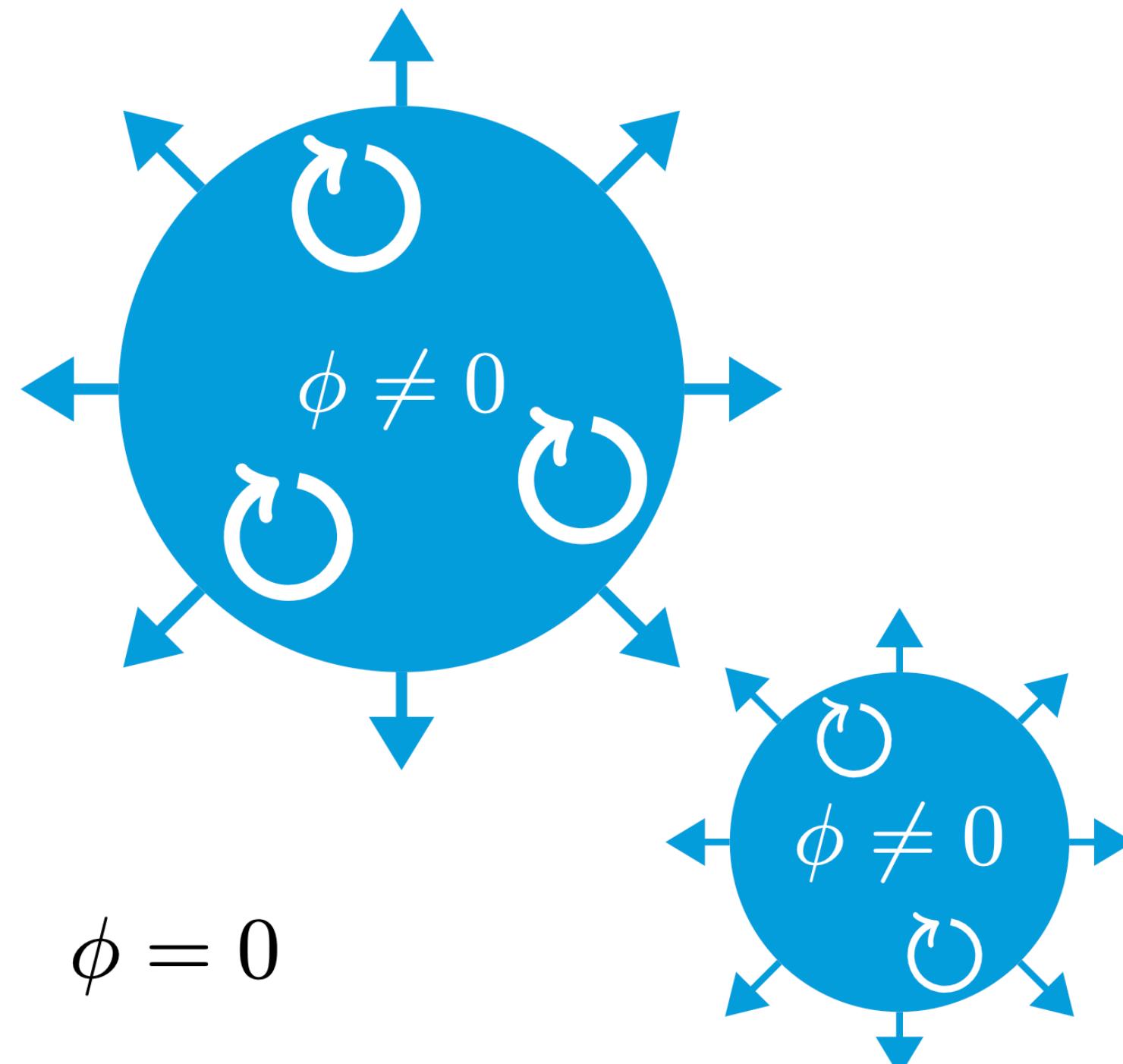


A scalar field tunnels to the true potential minimum $\phi \neq 0$ to minimize its free energy.



First-order phase transitions produce GWs

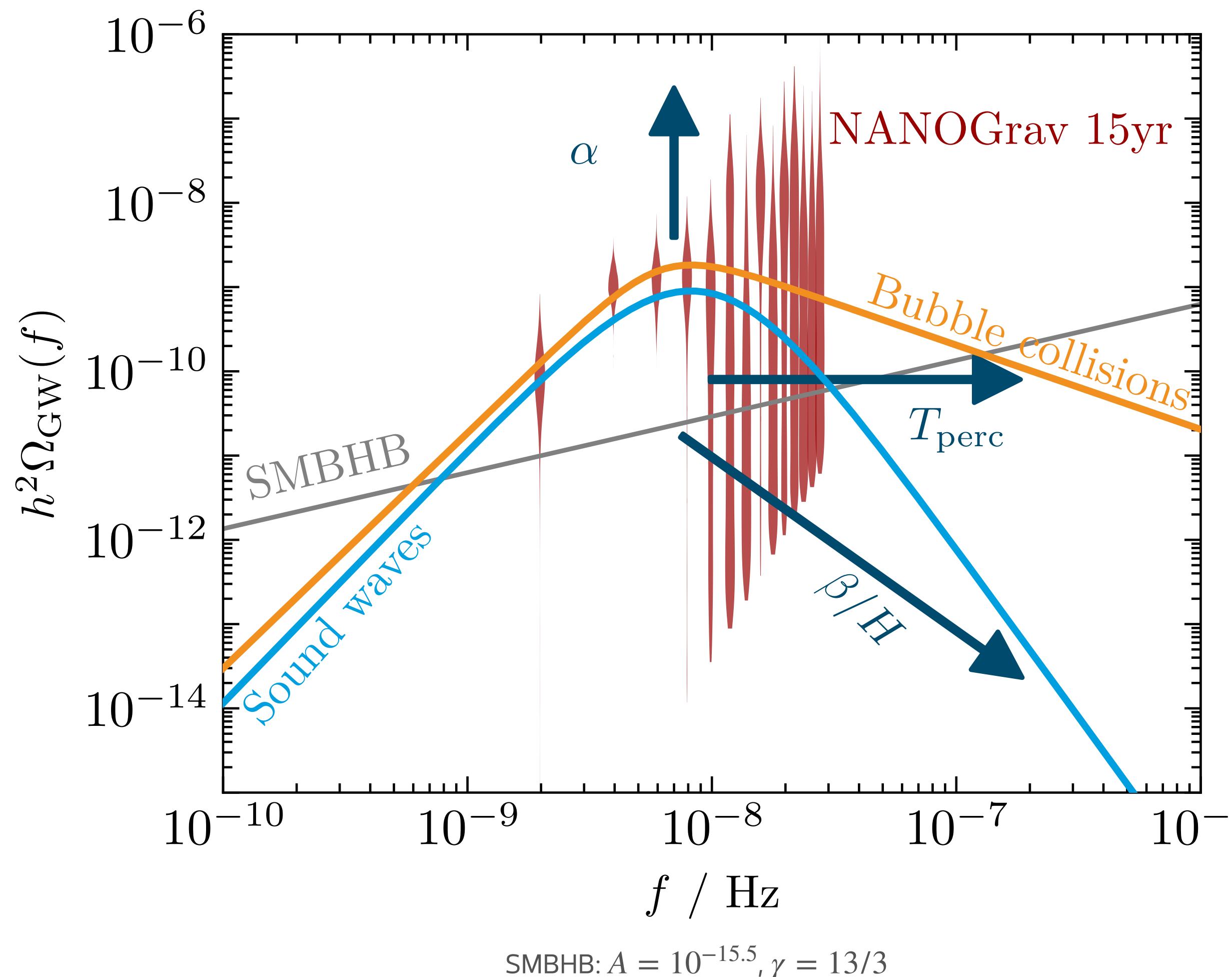
Bubbles of the new phase nucleate, collide and perturb the plasma...



... giving rise to an observable stochastic gravitational wave background.



Parametrization of the GW signal



$$h^2 \Omega_{\text{GW}}^{\text{sw,bw}}(f) \simeq 10^{-6} \left(\frac{\alpha}{\alpha + 1} \right)^2 \left(\frac{H}{\beta} \right)^{1,2} \mathcal{S} \left(\frac{f}{f_{\text{peak}}} \right)$$

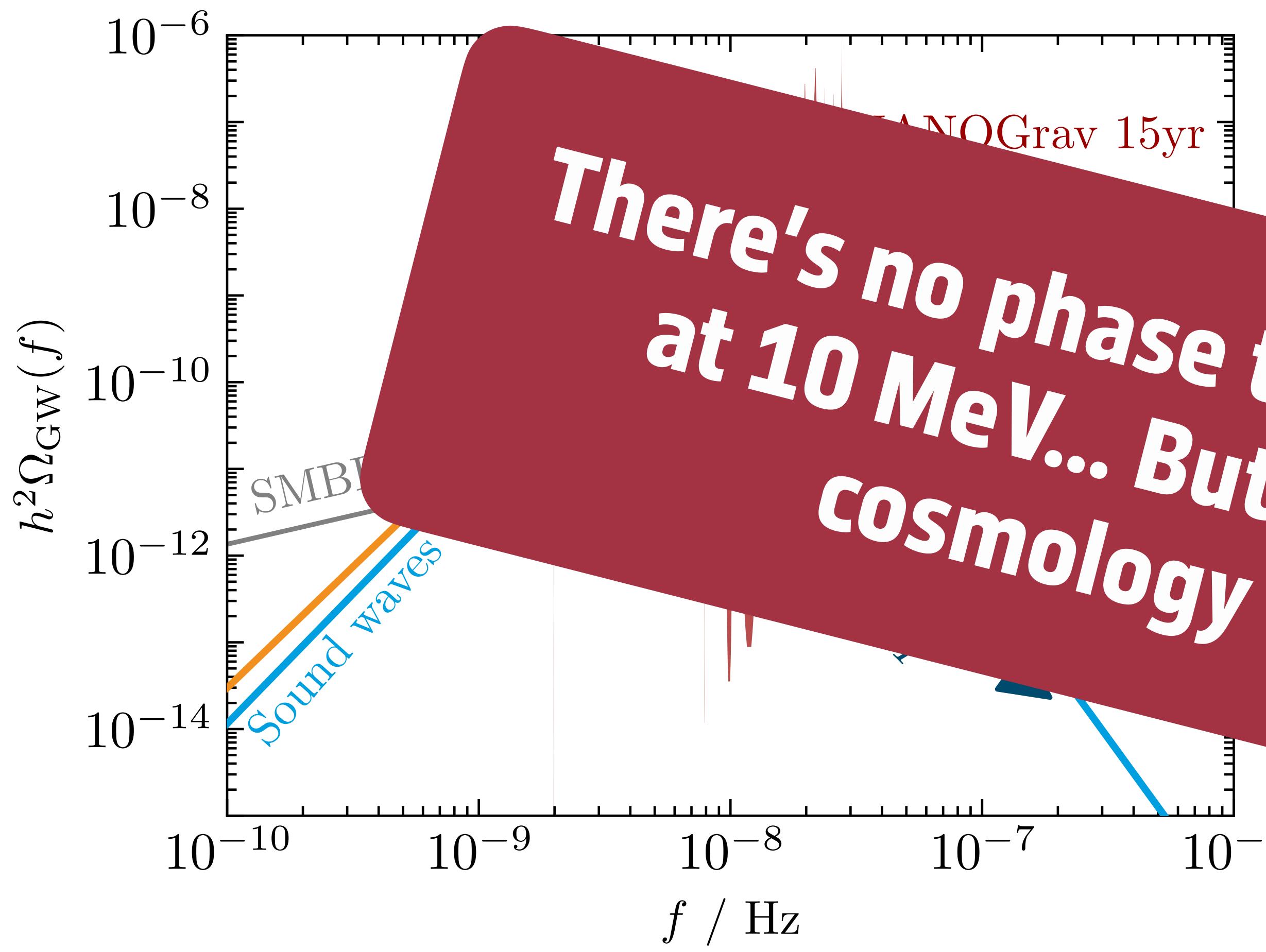
with $f_{\text{peak}} \simeq 0.1 \text{ nHz} \times \frac{\beta}{H} \times \frac{T}{\text{MeV}}$

To fit the new pulsar timing data:

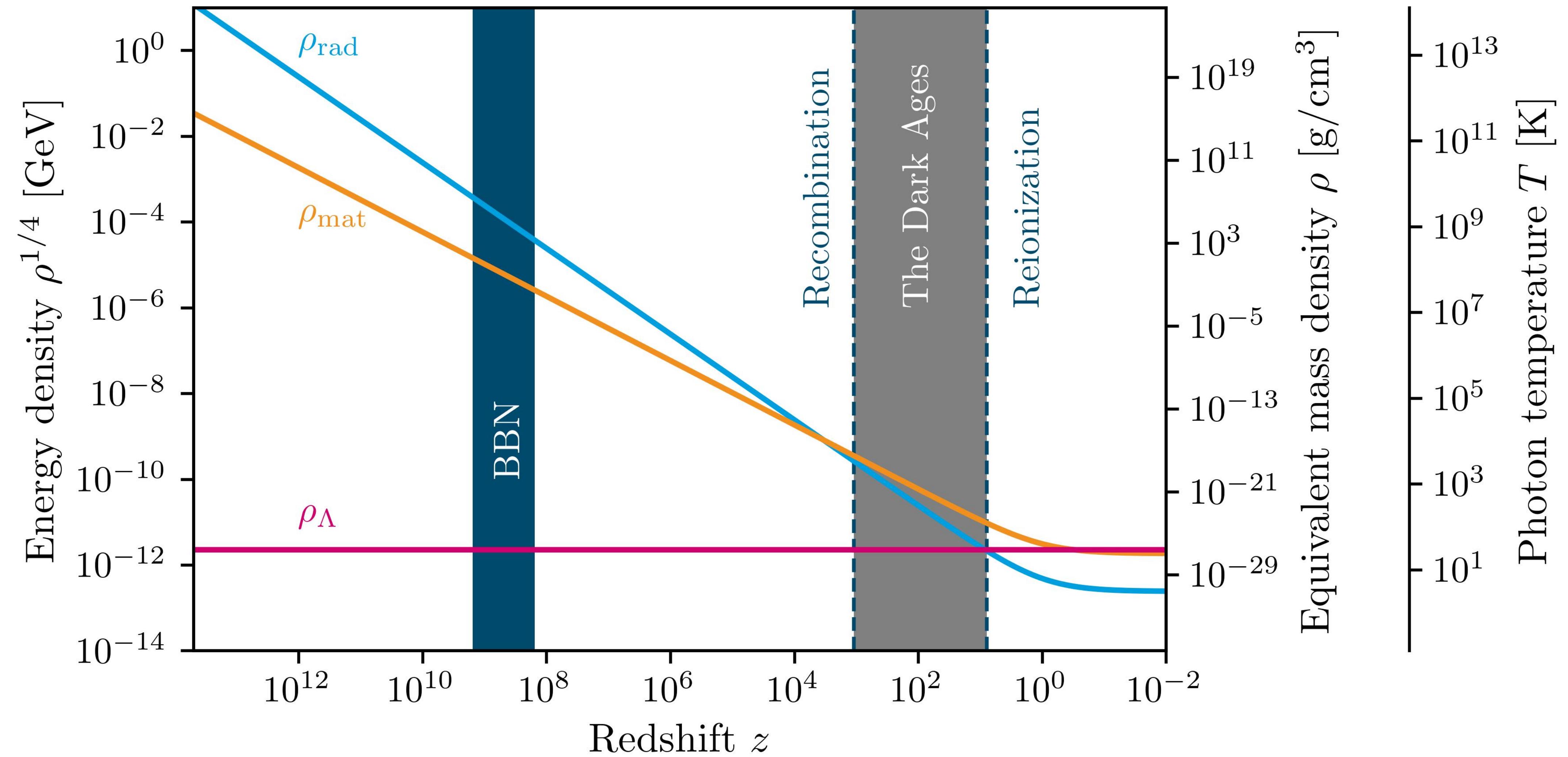
- Strong transitions, $\alpha \gtrsim 1$
- Slow transitions, $\beta/H \approx 10$
- Percolation around $T \approx 10 \text{ MeV}$



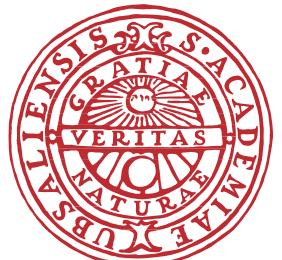
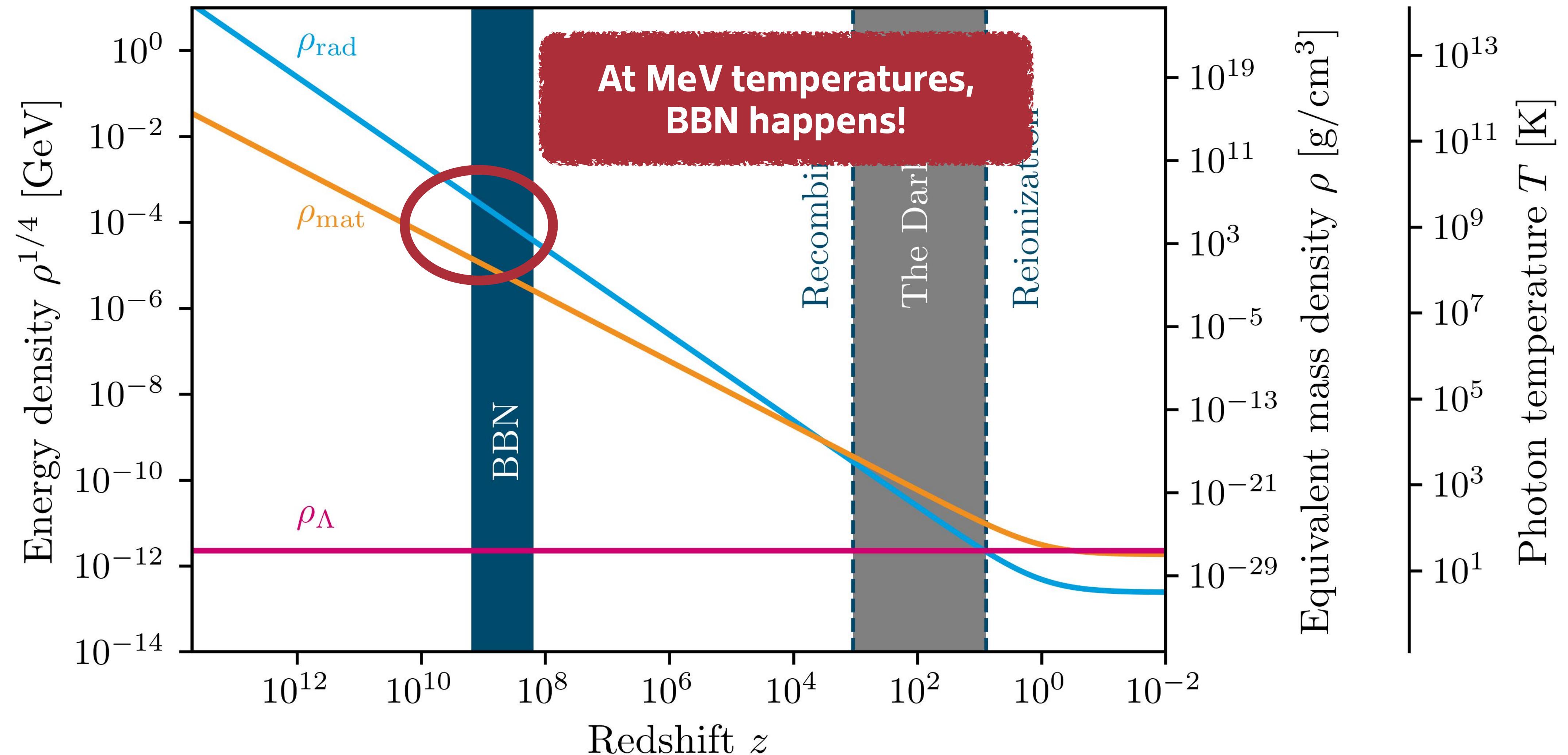
Parametrization of the GW signal



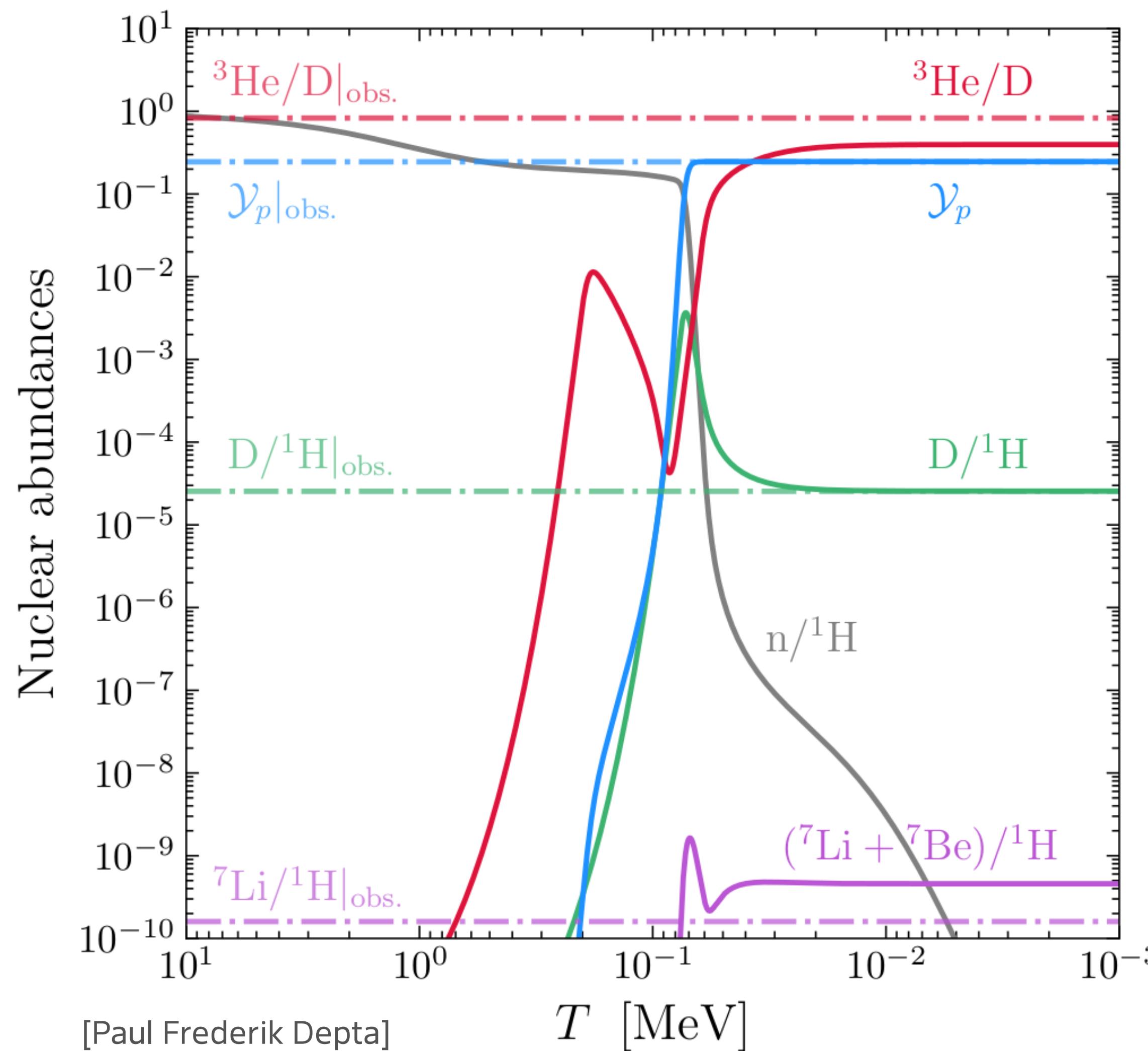
A brief history of time



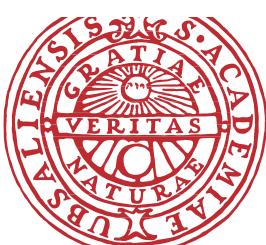
A brief history of time



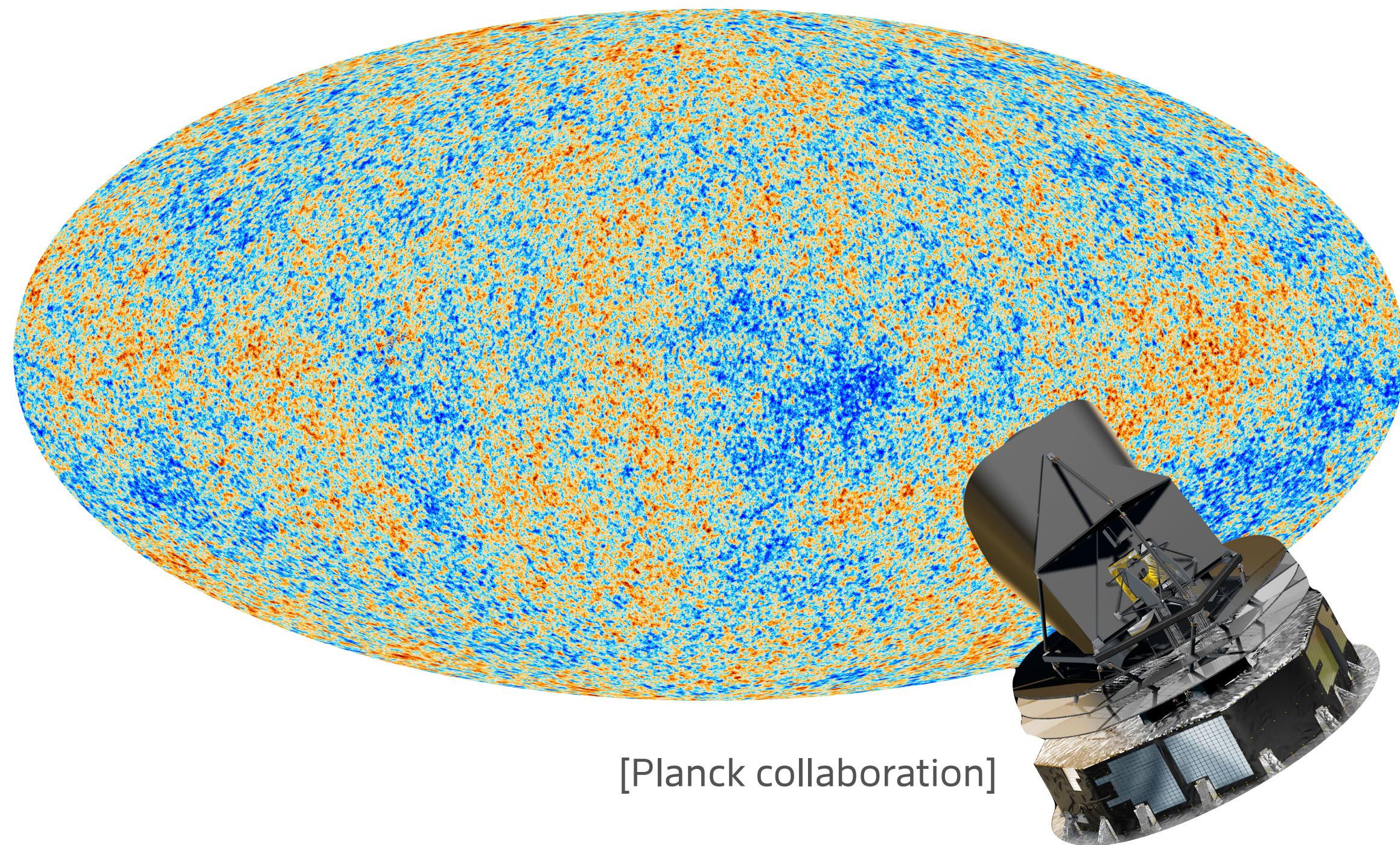
Big Bang Nucleosynthesis and the CMB



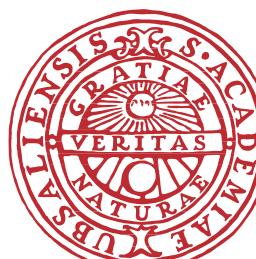
- Observation of primordial light element abundances in good agreement with standard BBN
- $N_{\text{eff}}^{\text{BBN}} = 2.898 \pm 0.141$



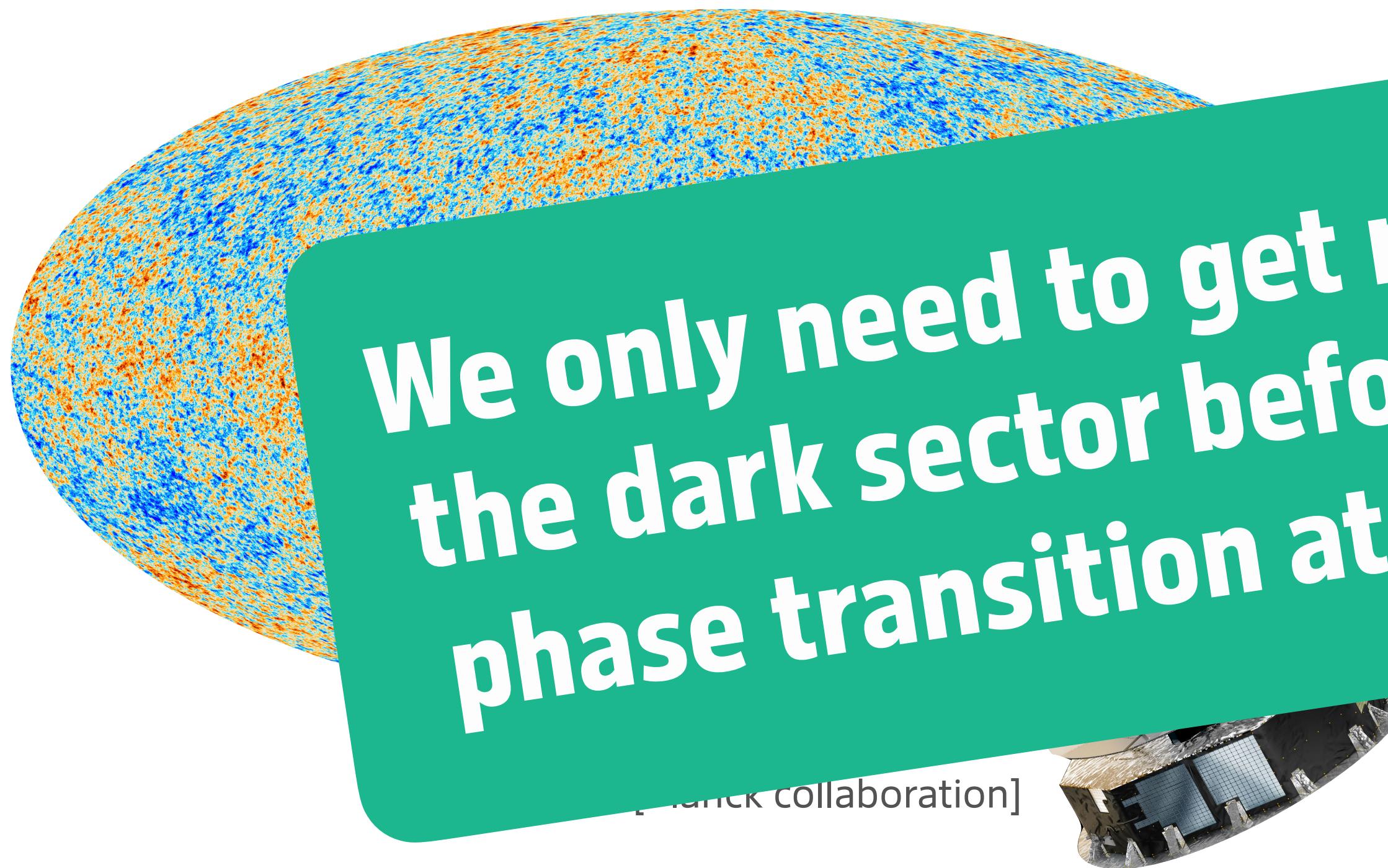
Big Bang Nucleosynthesis and the CMB



- Observation of primordial light element abundances in good agreement with standard BBN
- $N_{\text{eff}}^{\text{BBN}} = 2.898 \pm 0.141$
- $N_{\text{eff}}^{\text{CMB}} = 2.99 \pm 0.17$
- Consistent with 3 SM neutrinos



Big Bang Nucleosynthesis and the CMB



We only need to get rid of extra energy in the dark sector before BBN to allow for a phase transition at the 10 MeV scale 😊

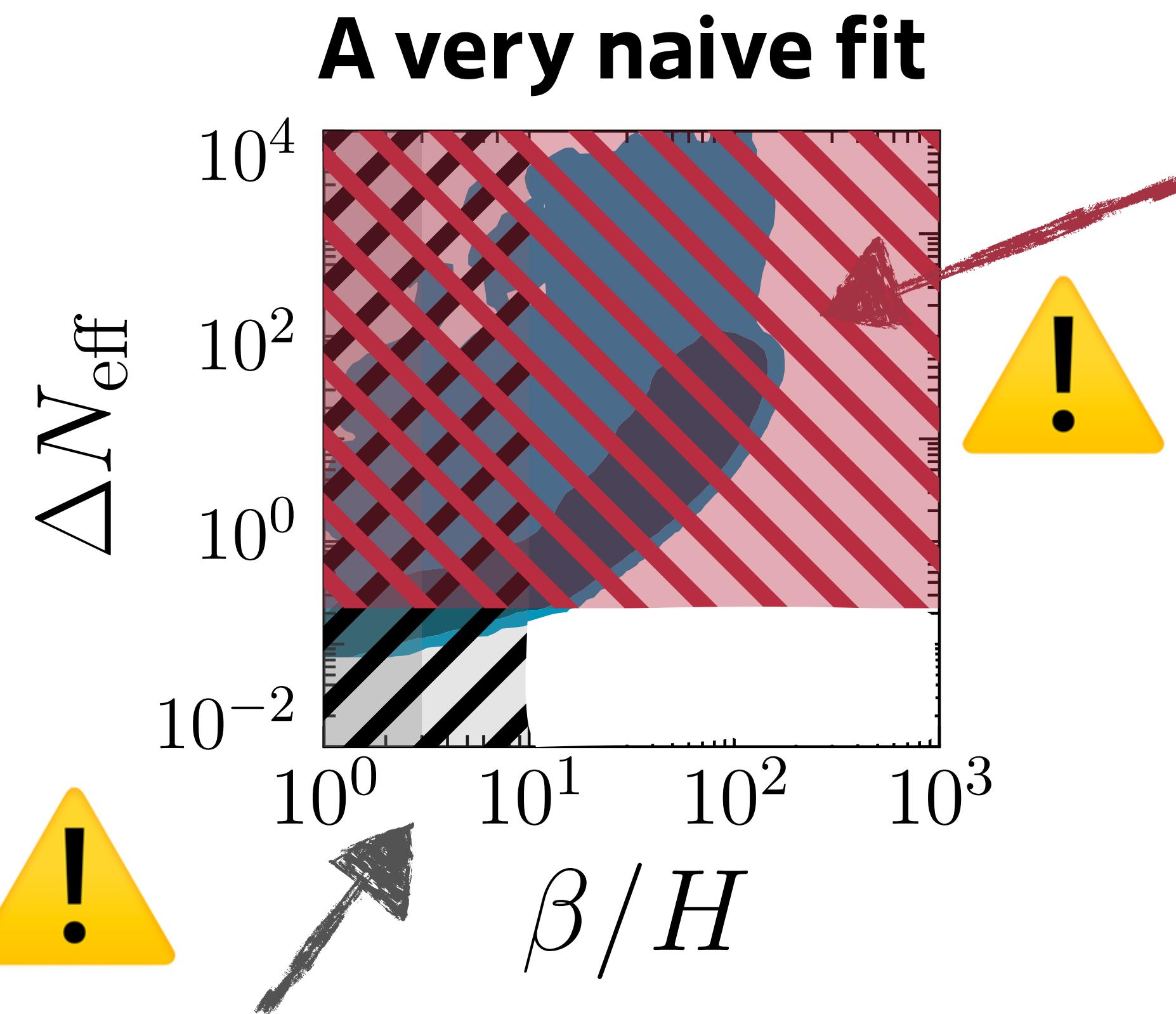
- Observation of primordial abundances in the CMB

$$\Omega_{\text{DM}} h^2 = 2.99 \pm 0.17$$

- Consistent with 3 SM neutrinos



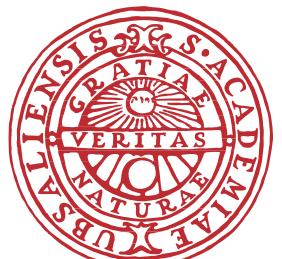
A dark sector without portal couplings



The liberated vacuum energy remains in the dark sector. A good fit would require enormous $\Delta N_{\text{eff}} \gg 0.22$

Giant „Hubble“ bubble sizes would be needed, violating causality & questioning validity of GW

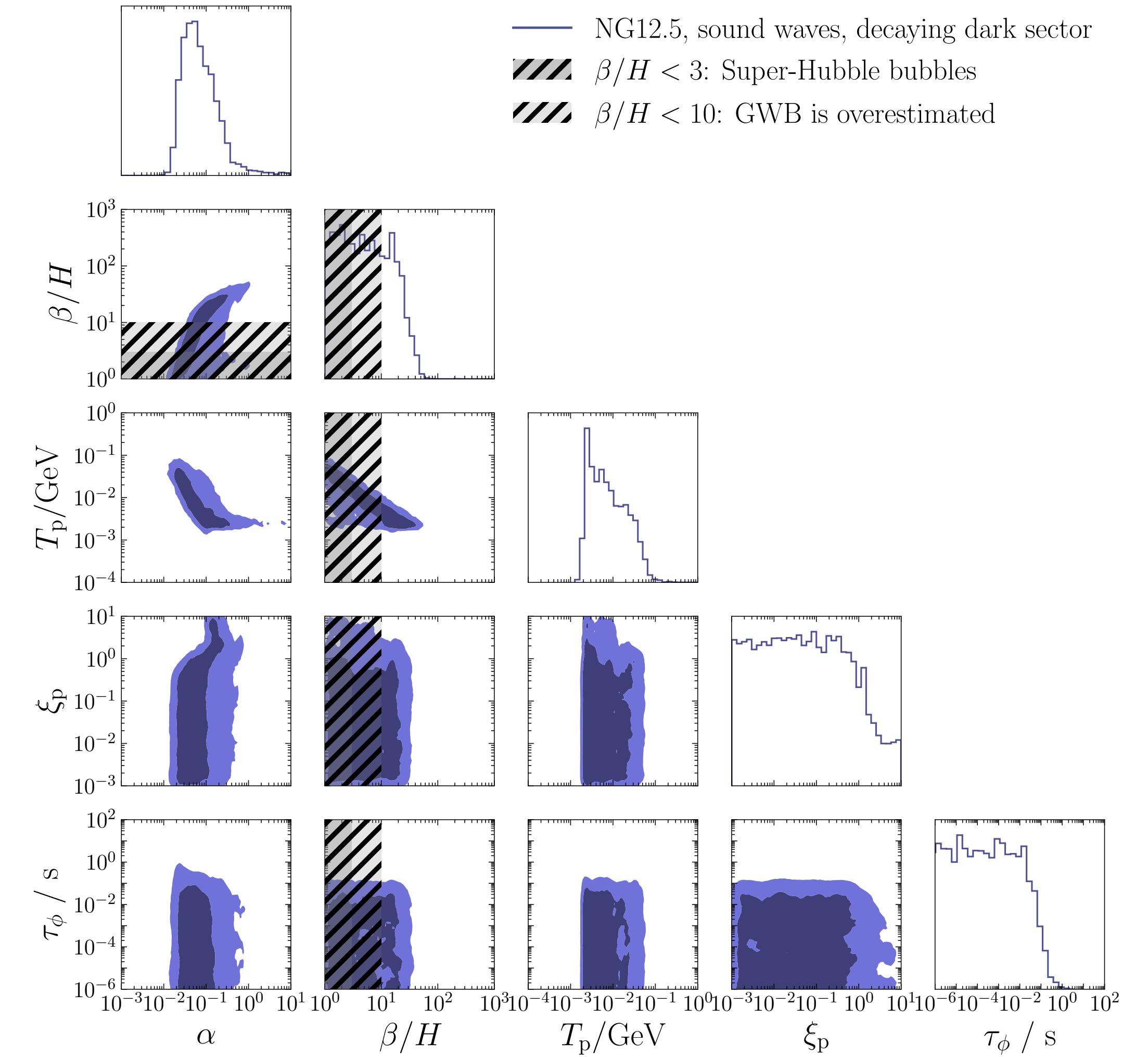
[CT et al, JCAP 11 (2023) 053]



The dark sector must die for the GWs to live...



If the dark sector decays before BBN, a great fit to PTA data can be achieved!



[CT et al, JCAP 11 (2023) 053]



New PTA data: higher peak frequency and slope

[NANOGrav, PPTA, EPTA, CPTA, InPTA, Meerkat]

Solution to the final parsec problem?

[Chiaberge+, 2501.18730]

What happened since July 2023?

N-Body simulations:
SMBHB unable to account for full GW signal

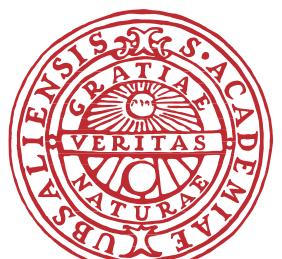
[Chen+, 2502.01024]

Investigation of specific dark sector models

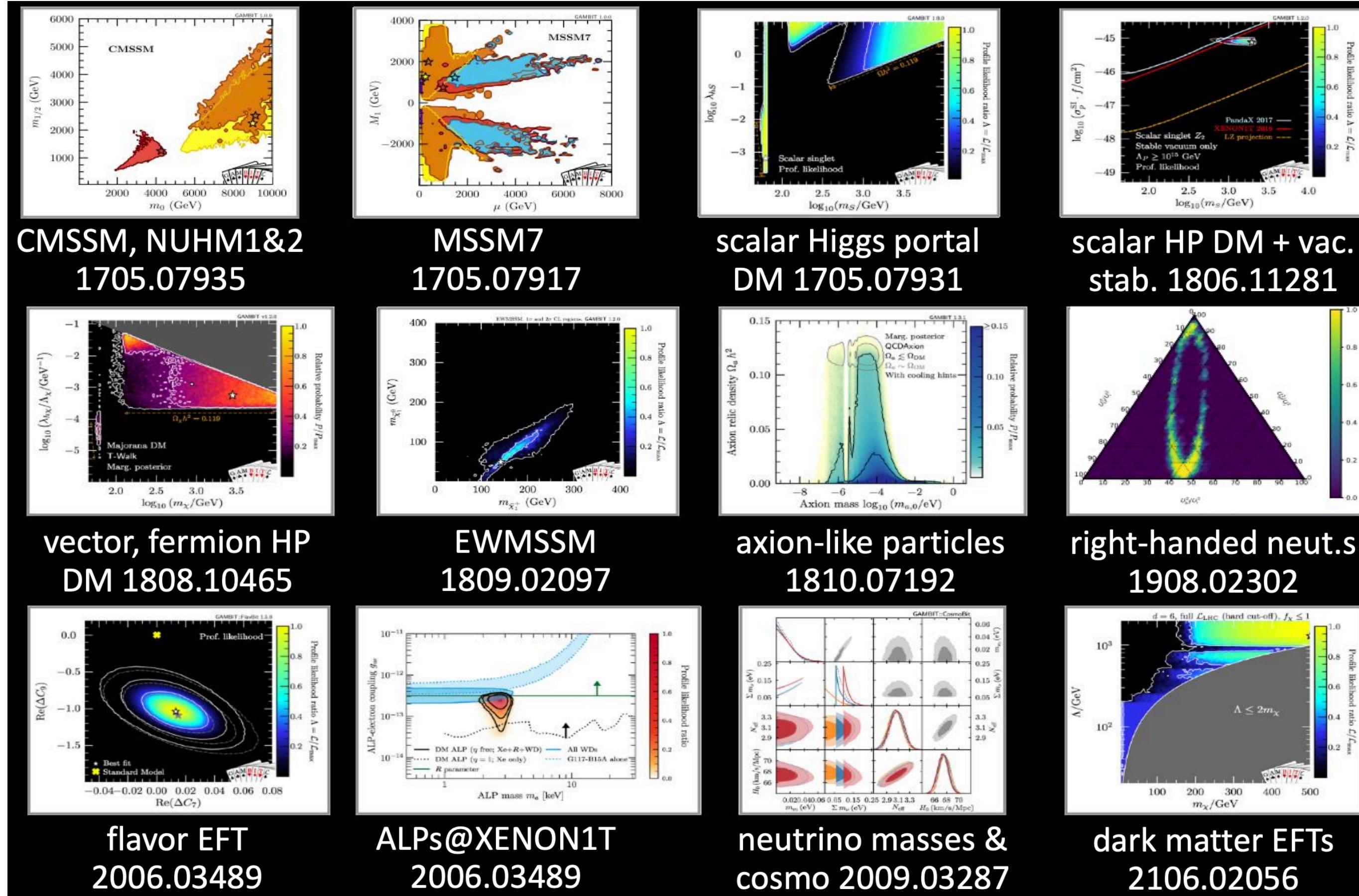
[2412.16282, 2501.11619, 2501.14986, 2501.15649, 2502.04108, ...]

More constraints than just ΔN_{eff} ?

Rest of this talk and my own focus



GAMBIT: from Lagrangians to Likelihoods



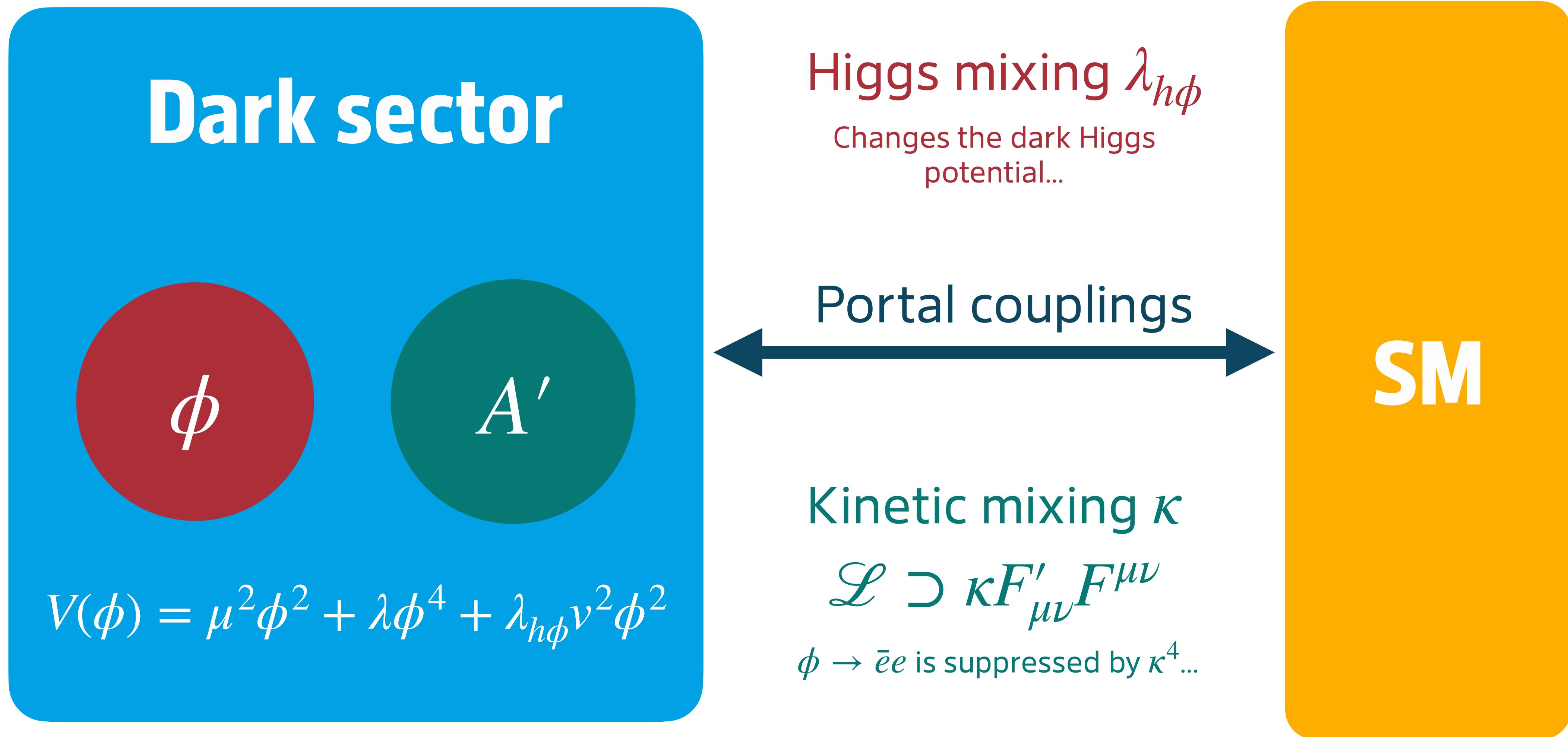
Slide by C. Balázs @ SUSY 2021



To combine BBN + CMB,
direct and indirect DM
detection, bullet cluster
and beam dump
constraints: GAMBIT



A minimal dark sector setup



See 2412.16282, 2501.11619, 2501.15649, 2501.14986
by Banik, Gonçalves, Costa, Li et al.



A minimal dark sector setup

Dark sector

$$V(\phi)$$

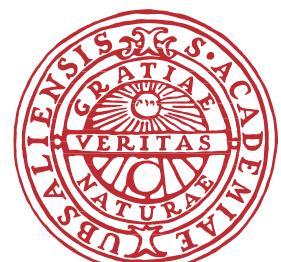
Model building is complicated!
Hard to avoid cosmological constraints
and fine-tuning...

$$\mathcal{L} \supset \kappa F'_{\mu\nu} F^{\mu\nu}$$

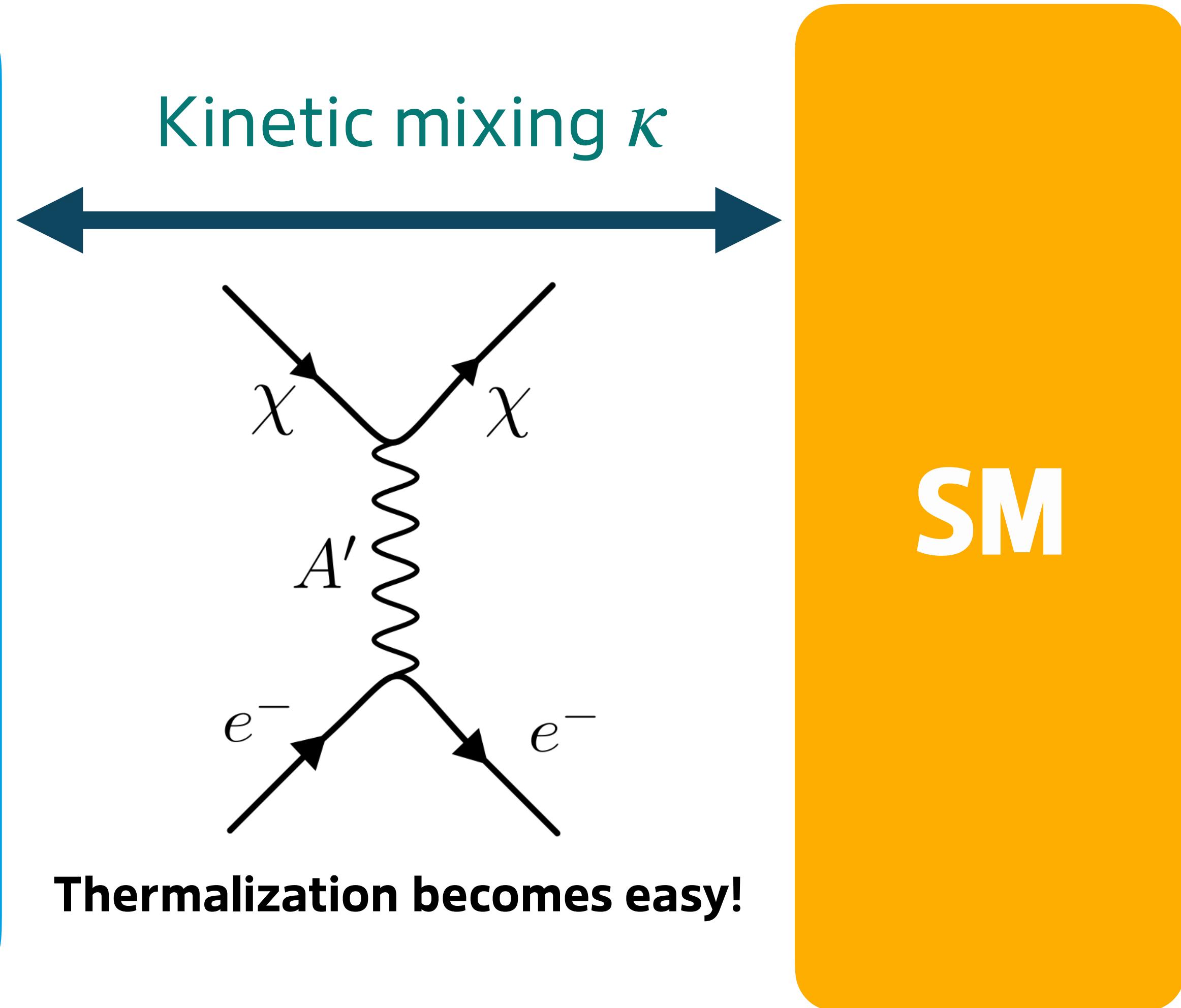
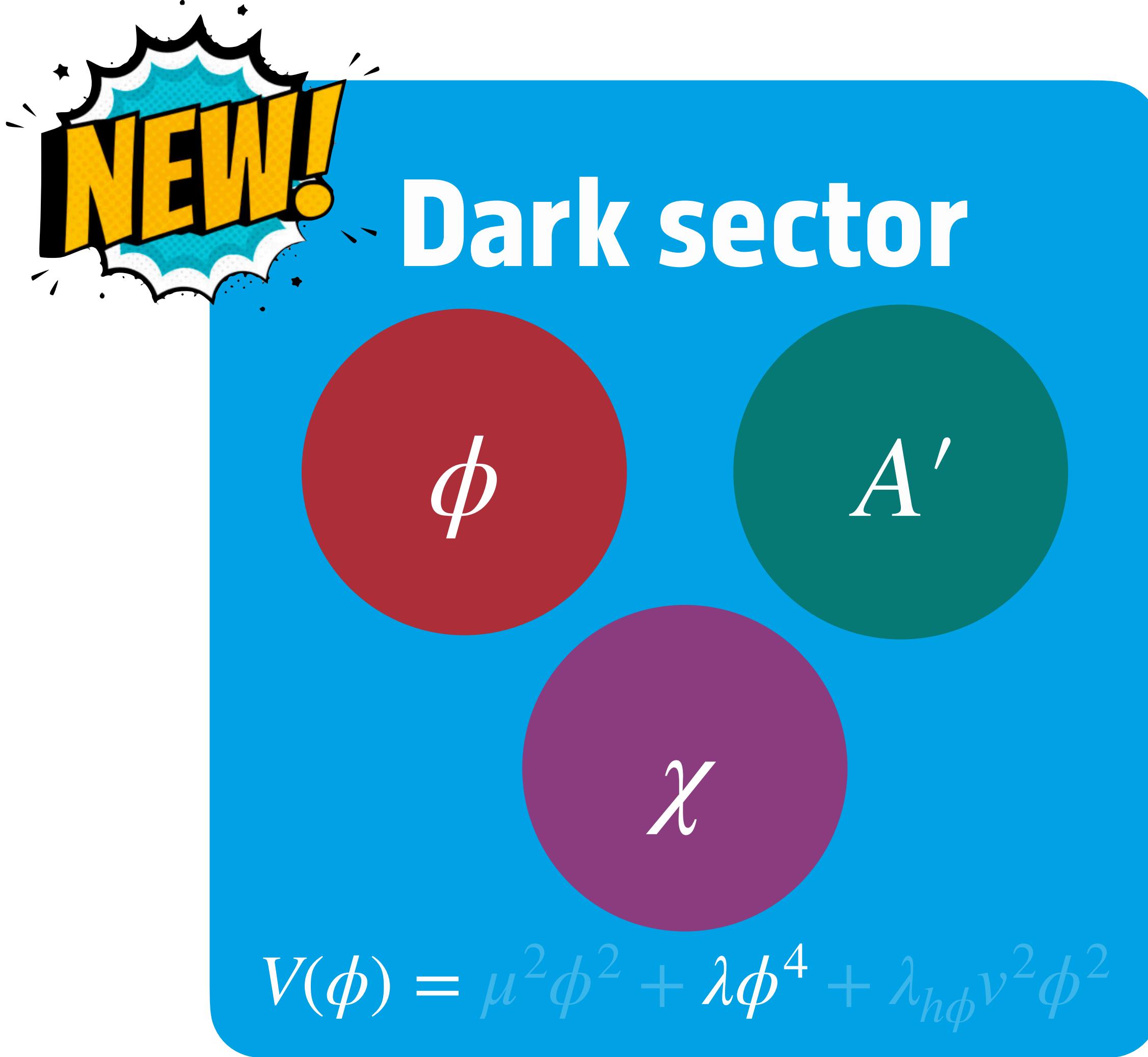
$\phi \rightarrow \bar{e}e$ is suppressed by κ^4 ...

Higgs mixing

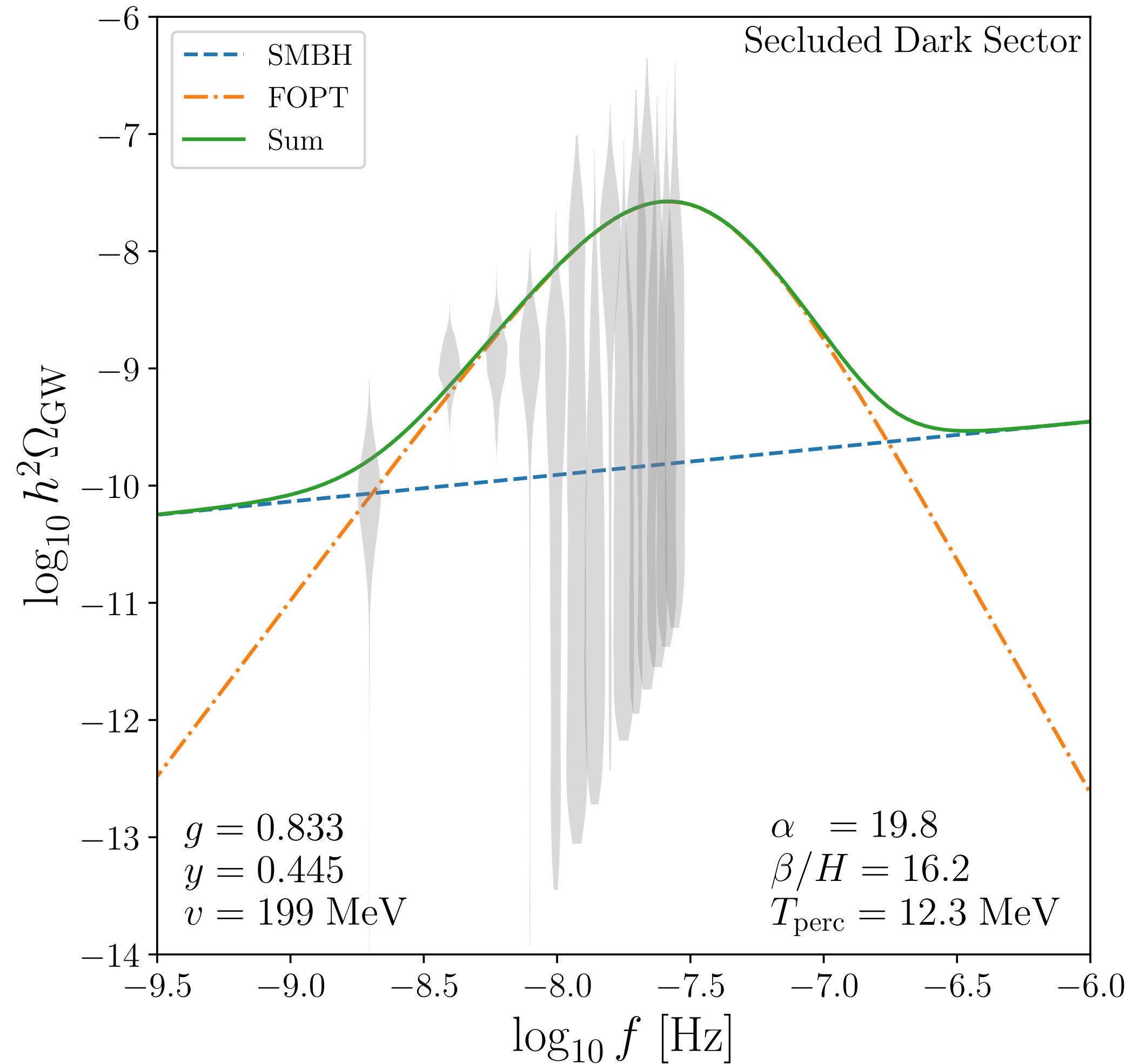
...



A conformal dark sector incl. dark matter candidate



All constraints can be circumvented



Global fit found parameter space with

- 100% of observed DM relic density
- Loud phase transition on top of „standard“ SMBH background
- Negligible impact on BBN and CMB
- No relevant direct + indirect detection + bullet cluster constraints
- Testable LDMX prediction:
 $m_{A'} = 100 - 200 \text{ MeV}, \kappa \simeq 10^{-4}$

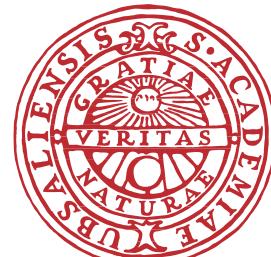


Some open ends:

In case you want to test your own phase transition models...



[Ongoing work Jonas Matuszak]



Can you explain this to us?

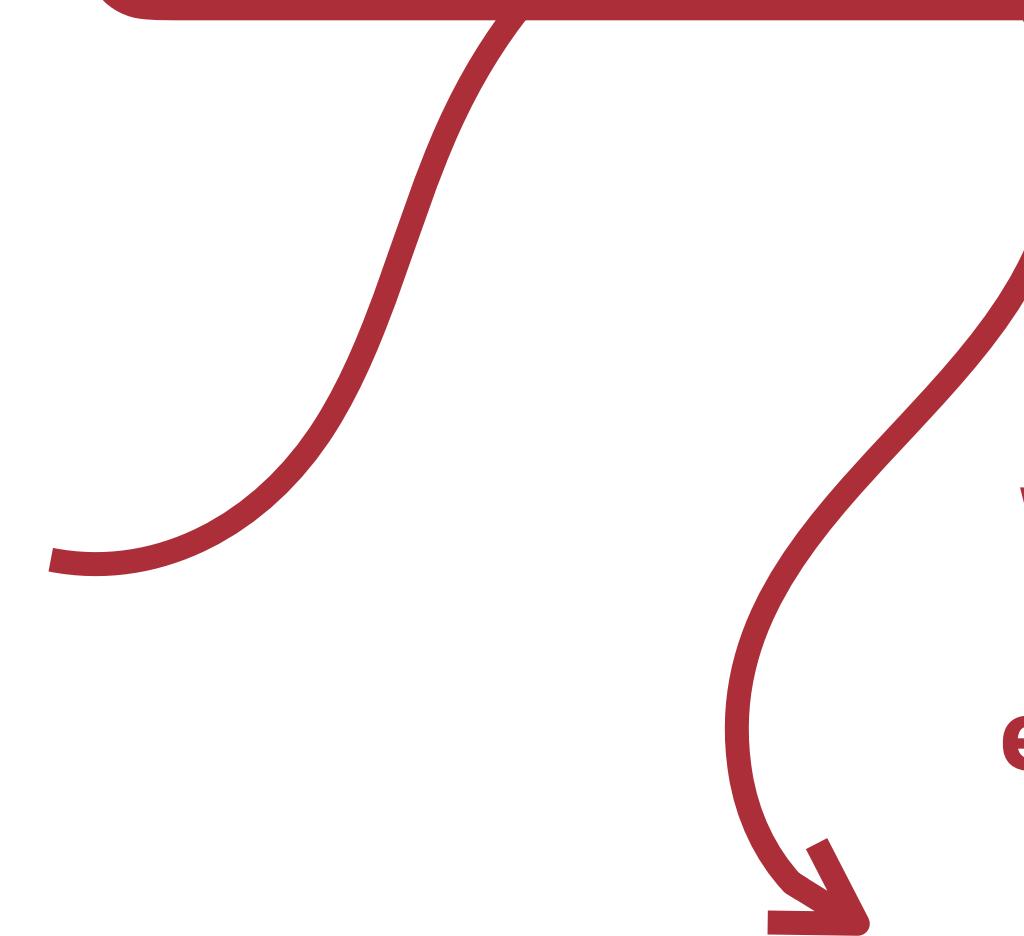
Preprint 3 September 2025

A direct black hole mass measurement in a Little Red Dot at the Epoch of Reionization

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Recent discoveries of faint active galactic nuclei (AGN) at the redshift frontier have revealed a plethora of broad H α emitters with optically red continua, named Little Red Dots (LRDs)¹, which comprise 15-30% of the high redshift broad line AGN population². Due to their peculiar spectral properties³⁻⁵ and X-ray weakness⁶, modeling LRDs with standard AGN templates has proven challenging. In particular, the validity of single-epoch virial mass estimates in determining the black hole (BH) masses of LRDs has been called into question, with some models claiming that masses might be overestimated by up to 2 orders of magnitude⁷⁻¹⁰, and other models claiming that LRDs may be entirely stellar in nature¹¹. We report the direct, dynamical BH mass measurement in a strongly lensed LRD at $z = 7.04$. The combination of lensing with deep spectroscopic data reveals a rotation curve that is inconsistent with a nuclear star cluster, yet can be well explained by Keplerian rotation around a point mass of 50 million Solar masses, consistent with virial BH mass estimates from the Balmer lines. The Keplerian rotation leaves little room for any stellar component in a host galaxy, as we conservatively infer $M_{\text{BH}}/M_* > 2$. Such a “naked” black hole, together with its near-pristine environment¹², indicates that this LRD is a massive black hole seed caught in its earliest accretion phase.

Is this the first detection of a PBH with $M = 5 \cdot 10^7 M_{\odot}$ at $z = 7$?



If so, it might as well be a tempting hint at a new explanation for the PTA data!

Signals of merging supermassive primordial black holes in pulsar timing arrays

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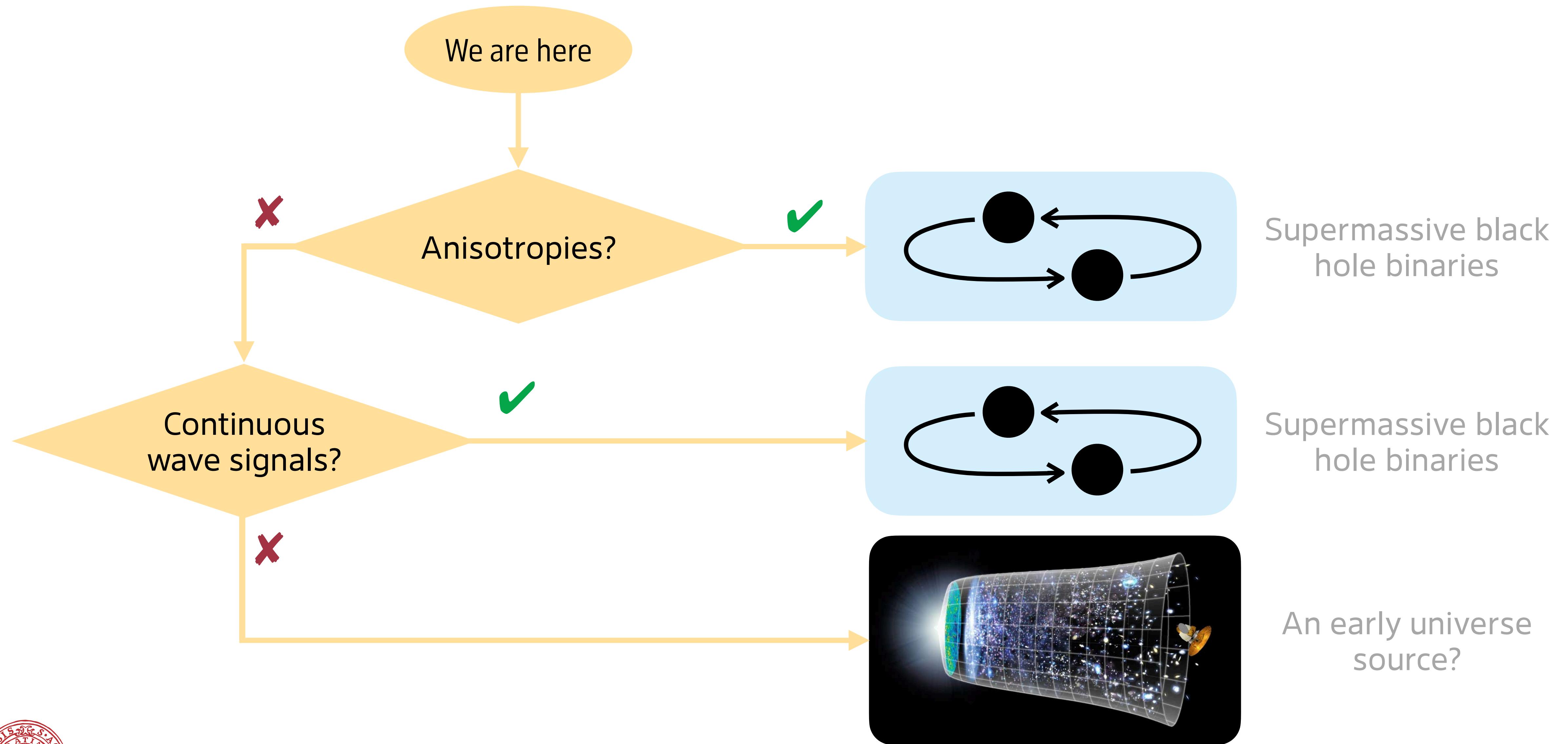
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(Dated: July 25, 2023)

In this work we evaluate whether the gravitational wave background recently observed by a number of different pulsar timing arrays could be due to merging *primordial* supermassive black hole binaries. We find that for homogeneously distributed primordial black holes this possibility is inconsistent with strong cosmological and astrophysical constraints on their total abundance. If the distribution exhibits some clustering, however, the merger rate will in general be enhanced, opening the window for a consistent interpretation of the pulsar timing array data in terms of merging primordial black holes, if μ -distortion constraints associated with the formation mechanism can be evaded.



Quo vadis pulsar timing?



Summary



- We are only at the dawn of GW cosmology, but can already probe the pre-BBN universe!
- PTAs could have observed a dark sector phase transition on top of the black hole background
 - ➡ Dark sector phase transition can explain the PTA signal **better than only SMBHBs**
 - ➡ Performed global fit with PTA, BBN, CMB, direct detection, indirect detection, bullet cluster, and beam dump likelihoods
 - ➡ Best-fit scenarios **can be tested by upcoming beam-dump experiments**



**Thank you very much
for your attention!**

Do you have any questions?



Backup slides